# **EMBEDDING GRAPHS WITH BOUNDED DEGREE IN SPARSE PSEUDORANDOM GRAPHS\***

BY

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#### ABSTRACT

In this paper, we show the equivalence of some *quasi-random* properties for sparse graphs, that is, graphs G with edge density  $p = |E(G)|/{\binom{n}{2}} =$  $o(1)$ , where  $o(1) \rightarrow 0$  as  $n = |V(G)| \rightarrow \infty$ . Our main result (Theorem 16) is the following embedding result. For a graph  $J$ , write  $N_J(x)$  for the neighborhood of the vertex x in J, and let  $\delta(J)$  and  $\Delta(J)$  be the minimum and the maximum degree in  $J$ . Let  $H$  be a *triangle-free* graph and set  $d_H = \max{\delta(J): J \subseteq H}$ . Moreover, put  $D_H = \min\{2d_H,\Delta(H)\}$ . Let

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 $C > 1$  be a fixed constant and suppose  $p = p(n) \gg n^{-1/D_H}$ . We show that if G is such that

- (i)  $\deg_G(x) \le Cpn$  for all  $x \in V(G)$ ,
- (ii) for all  $2 \le r \le D_H$  and for all distinct vertices  $x_1, \ldots, x_r \in V(G)$ ,

 $|N_G(x_1) \cap \cdots \cap N_G(x_r)| \leq Cnp^r$ ,

(iii) for all but at most  $o(n^2)$  pairs  $\{x_1,x_2\} \subset V(G)$ ,

 $|N_G(x_1) \cap N_G(x_2)| - np^2| = o(np^2),$ 

then the number of labeled copies of  $H$  in  $G$  is

$$
N(H, G_n) = (1 + o(1))n^{|V(H)|}p^{|E(H)|}.
$$

Moreover, we discuss a setting under which an arbitrary graph  $H$  (not necessarily triangle-free) can be embedded in G. We also present an embedding result for directed graphs.

### 1. Introduction

Let H be a fixed graph with k vertices and e edges. In what follows,  $o(1)$  terms denote functions of n such that  $o(1) \rightarrow 0$  as  $n \rightarrow \infty$ . It is well known that, for any constant p, asymptotically almost surely the random graph  $\mathcal{G}(n,p)$  contains  $(1 + o(1))n^k p^e$  labeled (not necessarily induced) copies of H. Throughout this paper, we think of a labeled copy of a graph  $H$  in a graph  $G$  as an injective function from  $V(H)$  to  $V(G)$  that preserves edges.

Let  $k \geq 4$  be a fixed integer and  $p \in (0,1)$ . Suppose we have a sequence of graphs  ${G_n}_{n=1}^{\infty}$ , where  $G_n$  has n vertices and  $(1 + o(1))p{n \choose 2}$  edges. We say that  ${G_n}_{n=1}^{\infty}$  is  $(k,p)$ -quasi-random, or simply quasi-random for short, if  $G_n$  contains  $(1 + o(1))n^k p^e$  labeled (not necessarily induced) copies of H for any graph  $H$  with  $k$  vertices, where  $e$  is the number of edges in  $H$ . It turns out that, for constant  $p$ , this notion of quasi-randomness can be equivalently described in terms of some other properties involving parameters other than the number of subgraphs (see [9, 21] and also [6, Chapter 9]). When  $p = o(1)$  (i.e.,  $p \rightarrow 0$  as  $n \to \infty$ , some of these properties fail to describe quasi-randomness in the above sense.

In this paper, we investigate quasi-random sparse graphs. We consider both directed and undirected graphs. In Section 1, we outline some well-known results about quasi-random graphs, when  $p$  is constant, as well as a few new results when  $p = o(1)$ . In Sections 2 and 3, we state and prove our main results. We present the proofs of our results for undirected graphs in Sections 3.2 and 3.4 and sketch the proof of our result for directed graphs in Section 3.3. In Section 4, we present some auxiliary facts and related work. In the last section, Section 5, we summarize a few open questions.

NOTE ON THE ORGANIZATION OF THE PAPER. The reader who is not familiar with the earlier results about quasi-random graphs will find this paper basically self-contained, with the relevant background and history. To such a reader, we suggest to focus on Section 1, Section 2 (up to Conjecture 21), and Section 3. The other results (and proofs) of the paper are mainly generalizations of the results in the sections indicated above.

The expert reader may skip Section 1 and move directly to Section 2. In Section 2, we suggest the reader concentrate on Theorems 16 and 19 and Conjectures 18 and 21. The proofs of Theorems 16 and 19 and supporting definitions are in Section 3.

Proposition 6 exhibits barriers beyond which Theorem 16 cannot be improved.

TERMINOLOGY AND NOTATION. Our terminology and notation are fairly standard. Our  $o(1)$  terms refer to functions that tend to 0 as  $n \to \infty$ . More generally,  $o(f(n))$  denotes a function  $g(n)$  such that  $g(n)/f(n) \to 0$  as  $n \to \infty$ . We also write  $g(n) \ll f(n)$  if  $g(n) = o(f(n))$ . Moreover, for A, B, and  $\delta > 0$ , we write  $A \sim_{\delta} B$  to mean  $(1-\delta)B < A < (1+\delta)B$ . Similarly, we write  $A \nsim \delta B$  if  $A \leq (1-\delta)B$ , or  $A \geq (1+\delta)B$ . Also, if  $f(n)$  and  $g(n)$  are functions, we write  $f(n) \sim g(n)$  (resp.  $f(n) \gtrsim g(n)$ ) if  $\lim_{n \to \infty} f(n)/g(n) = 1$  (resp.  $\lim_{n\to\infty}f(n)/g(n)\geq 1$ .

For any integer n, let  $[n] = \{1, \ldots, n\}$ . For any set X, we denote the set of all r-elements subsets of X by  $[X]^r$  and we denote the set of all ordered r-tuples of X by  $X^r = X \times \ldots \times X$ . The cardinality of X will be denoted by |X|. We use the following non-standard notation. If  $U = (u_1, \ldots, u_k)$  is an ordered k-tuple, we let  $U^{\text{set}} = \{u_1, \ldots, u_k\}$  be the set of the elements occurring in the vector U.

Let  $G = (V, E)$  be a graph with vertex set  $V = V(G)$  and edge set  $E = E(G)$ . We write  $N(x) = N_G(x)$  for the neighborhood of a vertex x in G, and if  $X \subseteq V$ , we let  $N(X) = N_G(X)$  be the **joint**, or **common**, neighborhood

$$
\bigcap_{x \in X} N(x)
$$

of the vertices in X. We denote the degree of  $x \in V$  by  $deg(x) = deg_G(x) =$ *IN(x)*. We denote the number of edges in G by  $e(G)$ . If  $X \subseteq V$ , we sometimes write  $e(X) = e_G(X)$  for the number of edges induced by X in G. The maximum and the minimum degree in G are denoted by  $\Delta(G)$  and  $\delta(G)$ . For  $X \subseteq V$ , we let  $G[X]$  be the graph induced by X in G. Usually,  $G_n$  denotes a graph on n vertices. We call a subset  $U \subseteq V$  stable (or independent) if there is no edge  $induced$  in  $U$ .

Finally, we say that a graph property  $P$  holds asymptotically almost surely (or almost surely) for a graph  $G \in \mathcal{G}(n, p)$  if it holds with probability tending to 1 as  $n \to \infty$ .

1.1 THE CONSTANT DENSITY CASE. The subject of quasi-random graphs was introduced in the eighties by Thomason [21] and Chung, Graham and Wilson [9]. They realized the surprising fact that several important properties shared by almost all graphs are asymptotically equivalent in a deterministic sense. See also [1, 4, 11, 18] for related initial work in this area and [20] for a recent development.

These equivalent properties are satisfied almost surely by a random graph in which every edge is chosen independently with probability  $p = 1/2$ . In general one may consider a random graph  $\mathcal{G}(n,p)$  on n vertices in which every edge is chosen independently with a constant probability  $p \in (0, 1)$ . Then one can show that the following properties hold for  $G_n \in \mathcal{G}(n, p)$  asymptotically almost surely.

 $NSUB(k)$ : For any graph H on k vertices, the number of labeled (not necessarily induced) copies of  $H$  in  $G_n$  is

$$
N(H, G_n) = (1 + o(1))n^k p^e,
$$

where  $e$  is the number of edges in  $H$ .

DISC: For all *X*,  $Y \subseteq V(G_n)$  with  $X \cap Y = \emptyset$ , if  $e(X, Y)$  denotes the number of edges between  $X$  and  $Y$  then

$$
|e(X, Y) - p|X||Y|| = o(pn^2).
$$

EIG: Let  $A = (a_{x,y})_{x,y \in V(G_n)}$  denote the 0-1 adjacency matrix of  $G_n$ , with 1 denoting edges. Let  $\lambda_i$  ( $1 \leq i \leq n$ ) be the eigenvalues of A and adjust the notation so that  $\lambda_1 \geq |\lambda_2| \geq \cdots \geq |\lambda_n|$ . Then

$$
\lambda_1 = (1 + o(1))pn \quad \text{and} \quad |\lambda_2| = o(pn).
$$

 $CYCLE(4):$  If  $C_4$  denotes the 4-cycle, i.e., the cycle of length 4, then

$$
N(C_4, G_n) = (1 + o(1))(pn)^4.
$$

TUPLE(s): For all  $r \in [s] = \{1, \ldots, s\}$ , we have

 $||N(x_1) \cap \cdots \cap N(x_r)| - np^r| = o(p^r n).$ 

for all but at most  $o(n^r)$  *r*-element sets  $\{x_1, \ldots, x_r\} \subseteq V(G_n)$ .

Above, s and  $k$  are arbitrary fixed constants. The following theorem holds (see [9] and [21]).

THEOREM 1: Let  $k \geq 4$  be a fixed integer. Let  $G_n$  be a graph on n vertices and  $(1+o(1))p\binom{n}{2}$  *edges for some fixed*  $p \in (0,1)$ *. If*  $G_n$  *satisfies any of the properties*  $NSUB(k)$ , DISC, EIG, CYCLE $(4)$ , and  $TUPLE(2)$ , *then it satisfies all of them.* 

*Remark 2:* Note that the property  $NSUB(k)$  depends on a parameter k. It is not hard to show that, for any k, property  $\text{NSUB}(k+1)$  implies property  $\text{NSUB}(k)$ (see Fact 47). Perhaps quite surprisingly, it follows from Theorem 1 that property NSUB(k) implies property NSUB(k + 1) as well, as long as  $k \geq 4$ .

To make our assertions more precise, we may substitute the  $o(1)$  terms that appear in the definitions of NSUB $(k)$ , DISC, EIG, CYCLE $(4)$ , and TUPLE $(s)$ by a parameter  $\epsilon > 0$ . We then obtain the properties for *n*-vertex graphs  $G_n$ given below. In what follows, unless explicitly stated otherwise, we let

$$
p = p(n) = |E(G_n)| {n \choose 2}^{-1}.
$$

 $NSUB_{\epsilon}(k)$ : For any graph H on k vertices, the number of labeled (not necessarily induced) copies of  $H$  in  $G_n$  satisfies

$$
(1-\epsilon)n^k p^e < N(H, G_n) < (1+\epsilon)n^k p^e,
$$

where  $e$  is the number of edges in  $H$ .

DISC<sub> $\epsilon$ </sub>: For all *X*,  $Y \subseteq V(G_n)$  with  $X \cap Y = \emptyset$ , if  $e(X, Y)$  denotes the number of edges between  $X$  and  $Y$  then

$$
|e(X,Y) - p|X||Y|| < \epsilon pn^2.
$$

EIG<sub>*c*</sub>: Let  $A = (a_{x,y})_{x,y \in V(G_n)}$  denote the 0-1 adjacency matrix of  $G_n$ , with 1 denoting edges. Let  $\lambda_i$  ( $1 \leq i \leq n$ ) be the eigenvalues of A and adjust the notation so that  $\lambda_1 \geq |\lambda_2| \geq \cdots \geq |\lambda_n|$ . Then

$$
(1 - \epsilon)pn < \lambda_1 < (1 + \epsilon)pn \quad \text{and} \quad |\lambda_2| < \epsilon pn.
$$

 $\mathrm{CYCLE}_{\epsilon}(4):$  We have

$$
(1 - \epsilon)(pn)^4 < N(C_4, G_n) < (1 + \epsilon)(pn)^4.
$$

TUPLE<sub> $\epsilon(s)$ </sub>: For all  $r \in [s] = \{1, \ldots, s\}$ , we have

$$
||N(x_1) \cap \cdots \cap N(x_r)| - np^r| < \epsilon p^r n,
$$

for all but at most  $\epsilon_{r}^{(n)}$  r-element sets  $\{x_1, \ldots, x_r\} \subseteq V(G_n)$ .

*Remark 3:* 

(a) The equivalence between two properties in Theorem 1, say  $P$  and  $Q$ , should be understood in the following way. *Property P implies property Q for a*  sequence of graphs  ${G_n}_{n=1}^{\infty}$  (we write  $P \Rightarrow Q$  for  ${G_n}_{n=1}^{\infty}$ ) if the following holds:

(\*) For all  $\epsilon > 0$ , there exist  $\delta > 0$  and  $n_0$  such that any graph  $G_n$  with  $n \geq n_0$  vertices satisfying  $P_\delta$  satisfies  $Q_\epsilon$  as well. Here,  $P_\delta$  and  $Q_\epsilon$  stand for P and Q with  $o(1)$  replaced by  $\delta$  and  $\epsilon$  respectively.

- (b) We will write " $P \Rightarrow Q$ " to mean " $P \Rightarrow Q$  for  $\{G_n\}_{n=1}^{\infty}$ " when the implicit reference to  $\{G_n\}_{n=1}^{\infty}$  is clear from the context.
- (c) Suppose we have a sequence of graphs  ${G_n}_{n=1}^{\infty}$ . We may then define the 'density function'  $p = p(n)$  of this sequence by putting  $p = p(n)$  $|E(G_n)|{n \choose 2}^{-1}$  for all n. On the other hand, sometimes we prefer to think that we have a **given** function  $p = p(n)$ , and that our graph sequence  ${G_n}_{n=1}^{\infty}$  is such that

$$
|E(G_n)| = (1+o(1))p\binom{n}{2}.
$$

Although the relationships between  ${G_n}_{n=1}^{\infty}$  and  $p = p(n)$  in these two approaches are different, we may clearly ignore this small difference when considering implications of the form  $P \Rightarrow Q$  with P and Q as above.

The investigation of quasi-randomness, for constant  $p \in (0,1)$ , turned out to be a fruitful area with several applications in'questions regarding random graphs and algorithms (see, e.g., [2], [5], [10], [14], [16], [19], and [22]). Some of the open questions in this area deal with the problem of generalizing Theorem 1 to the case in which  $p = o(1)$ .

Before we proceed, we mention that in 1985 Thomason [21, 22] already considered the case in which  $p = o(1)$ . Our approach in this paper is different from the one taken by Thomason, who investigated pseudorandom properties with error terms that vanish together with  $p$ . Our approach is closer in spirit to the one in the recent paper by Chung and Graham [8].

1.2 THE VANISHING DENSITY CASE. In this section, we turn our attention to the study of quasi-randomness when  $p = o(1)$ . The first efforts towards this direction suggest that a generalization of Theorem 1 (which is valid when  $p \in (0, 1)$  is constant) will not be straightforward. Indeed the quasi-random properties listed above are no longer equivalent when  $p = o(1)$ . For instance, property TUPLE(2) does not imply property NSUB(3), as we shall see in Proposition 6 below. However, some of these quasi-random properties are equivalent under more restrictive conditions.

Let  $TFSUB(k)$  be the property  $NSUB(k)$  restricted to triangle-free graphs H, that is, under  $TFSUB(k)$  we require the number of occurrences of triangle-free graphs H to be 'correct' in  $G_n$  (see Definition 12). For suitable values of p (see Theorems 10 and 19), the following diagram holds for "special families of graphs" such as the family  $BDD(C, t)$  and the family  $CG(C, t)$  (see Definitions 4 and 8).



Note that the "missing" link in the above diagram is the implication DISC  $\Rightarrow$ TUPLE(2). Although this implication does not hold in general (see [8] and [14]), it is possible that it holds under some natural conditions (such as  $BDD(C, t)$  and  $CG(C, t)$ . If this implication does hold under some special conditions, then the properties CYCLE $(4)$ , DISC, EIG, TFSUB $(k)$  and TUPLE $(2)$  would all be equivalent for sequences of graphs satisfying these conditions and BDD (we make this precise in Remark 22 below). For  $p = o(1)$ , the only known counterexamples to the implication  $DISC \Rightarrow TUPLE(2)$  are graphs in which the joint neighborhood of a few vertices is very large, that is, graphs for which the property  $BDD(C, t)$ defined below fails.

*Definition 4:* Let constants  $C > 1$  and  $t \ge 1$  be given. We define BDD( $C, t$ ) to be the family of all graphs G such that, if we let  $n = |V(G)|$  and  $p = |E(G)|/{\binom{n}{2}}$ , then

(i)  $deg_G(x) \le Cpn$  for all  $x \in V(G)$ ,

(ii) for all  $2 \le r \le t$  and for all distinct vertices  $x_1, \ldots, x_r \in V(G)$ ,

 $|N_G(x_1) \cap \cdots \cap N_G(x_r)| \leq Cnp^r$ .

Remark 5: Note that  $BDD(C, t + 1) \subseteq BDD(C, t)$ .

Since the property  $TFSUB(k)$  is restricted to the counting of triangle-free graphs only, it is natural to ask whether this counting extends to graphs with triangles. The following proposition, Proposition 6, shows that there is no hope for such an extension for graphs out of the family BDD when  $p = o(1)$  and even for graphs in BDD when  $p = p(n)$  is of order  $n^{-1/3}$ .

PROPOSITION 6:

- (A) For any  $p = p(n) = o(1)$  that satisfies  $p(n) \gg n^{-1/2}$ , there exists a graph *sequence*  $\{G_i\}_{i=1}^{\infty}$ , with  $|V(G_i)| = n_i \rightarrow \infty$  as  $i \rightarrow \infty$  and  $|E(G_i)| \ge$  $p(n_i)\binom{n_i}{2}$  for all *i*, for which the following holds:
	- (i)  $G_i$  is triangle-free for all  $i \geq 1$ ,
	- (ii)  $\{G_i\}_{i=1}^{\infty}$  *satisfies properties DISC, EIG, and TUPLE(2).*
- (B) There exists a graph sequence  ${G_i}_{i=1}^{\infty}$ , with  $|V(G_i)| = n_i \to \infty$  as  $i \to \infty$ and  $|E(G_i)| = (1/8 + o(1))n_i^{5/3}$ , for which (i) and (ii) above hold and, *furthermore,* 
	- (iii)  $G_i \in \text{BDD}(128, 2)$  for all  $i \geq 1$ .

The proof of Proposition 6 will be discussed in Section 4.2.

*Remark 7:* It would be interesting to know if one can extend Proposition 6 to the existence of graphs  $G_i$  with  $|V(G_i)| = n_i \rightarrow \infty$  and  $p(n_i) = |E(G_i)|/(n_i \choose 2) \gg n_i^{-1/3}$ such that  $G_i$  satisfies (i) and (ii) in Proposition 6 and  $G_i \in BDD(C, 2)$  for all i for some fixed constant C.

Among other problems, the question of the equivalence of the properties EIG, DISC, and CYCLE $(4)$ , in the sparse setting, was considered in [8] by Chung and Graham. Before we discuss their results, we introduce some terminology.

For any integer t and any two vertices u and v in a graph  $G$ , let  $e_t(u, v)$ denote the number of paths of length  $t$  between  $u$  and  $v$ . Thus, we always have  $e_1(u, v) \leq 1$  and  $e_2(u, v) = |N(u) \cap N(v)|$ .

*Definition 8:* Let  $t \geq 2$  be an integer and let  $C > 1$  be a fixed constant. Let  $CG(C, t)$  denote the family of graphs G such that, putting  $n = |V(G)|$  and  $p = |E(G)| / {n \choose 2}$ , we have

- (i)  $deg_G(u) \le Cpn$  for all  $u \in V(G)$ ,
- (ii)  $e_t(u, v) \le C p^t n^{t-1}$  for all  $u, v \in V(G)$ .

*Remark 9:* One can observe that

$$
CG(C, t) \subseteq CG(C^2, t+1) \quad \text{and} \quad CG(C, 2) = BDD(C, 2).
$$

The next theorem follows from the results of Chung and Graham [8].

THEOREM 10: *The implications* 

$$
(1) \tCYCLE(4) \Rightarrow EIG \Rightarrow DISC
$$

*hold for any sequence*  $\{G_n\}_{n=1}^{\infty}$  *with*  $|V(G_n)| = n$  *and*  $|E(G_n)| = (1 + o(1))p{n \choose 2}$ , as *long* as  $p = p(n) \gg n^{-1/2}$ .

*Remark 11:* Chung and Graham have in fact proved that the implication

 $DISC \Rightarrow EIG$ 

holds even for fast decreasing functions  $p = p(n)$ , but assuming an extra hypothesis that can be expressed in terms of the classes  $CG(C, t)$ . We refer the interested reader to [8]. In the case in which  $p$  is constant, all the three properties in  $(1)$ are equivalent (see also Conjecture 21).

## **2. Statements** of the main **results**

Now we turn our attention to the main goal of this paper. Complementing the work of Chung and Graham [8, 7], we will address the question as to how the property  $NSUB(k)$  relates to the properties  $CYCLE(4)$ , DISC, EIG, and TUPLE(2) in the sparse setting. We shall consider both undirected and directed graphs.

For the digraph case, we focus on the embedding of triangle-free digraphs into sparse pseudorandom digraphs satisfying certain extra conditions. We will only present the proofs of our main results in the undirected case, as they can be naturally extended to the directed case.

2.1 THE UNDIRECTED CASE. By Proposition 6 the implication "TUPLE(2)  $\Rightarrow$  $NSUB(k)$ " fails to be true for sequences of graphs with vanishing density. Thus, additional conditions are needed in order to obtain any new relation between  $NSUB(k)$  and the other properties. One such condition is to restrict the family of graphs G for which such a relation could exist. Another possibility is to weaken property  $NSUB(k)$ . This leads us to the following two adjustments:

- (i) As in the work of Chung and Graham [8] (see Theorem 10 above), we restrict the domain to a special family of graphs  $G$ , namely, the family  $BDD(C, t)$  introduced in Definition 4.
- (ii) We will also weaken the property  $NSUB(k)$  and focus on counting the triangle-free subgraphs only. We refer the reader to Remark 34 tor a discussion on the triangle-freeness condition.

*Definition 12:* Fix an integer  $k \geq 4$ . We say that a sequence of graphs  $\{G_n\}_{n=1}^{\infty}$ with  $|V(G_n)| = n$  has the property TFSUB(k) if it satisfies the following condition:

 $(†)$  For any *triangle-free graph H* on k vertices, the number of labeled (not necessarily induced) copies of H in  $G_n$  is

$$
N(H, G) = (1 + o(1))n^k p^e,
$$

where e is the number of edges in H, and  $p = e(G_n) {n \choose 2}^{-1}$ .

Note that the only difference between the properties  $TFSUB(k)$  and  $NSUB(k)$ is the *triangle-freeness* condition. We need the following definitions before we may state our first main theorem.

*Definition 13:* For any graph H, we let

$$
d_H = \max\{\delta(J): J \subseteq H\}.
$$

*Remark 14:* If a k-vertex graph H is triangle-free, then  $d_H \leq k/2$ .

*Definition 15:* For any graph H, we let

$$
D_H = \min\{2d_H, \Delta(H)\}.
$$

We may now state our key result.

THEOREM 16 (Embedding Lemma): *Suppose H is a triangle-free graph on k vertices and e edges. Let*  ${G_n}_{n=1}^{\infty}$  *be a sequence of graphs with*  $|V(G_n)| = n$  *for all n and with*  $p = p(n) = |E(G_n)| {n \choose 2}^{-1}$  *satisfying*  $p \gg n^{-1/D_H}$ . Let  $C > 1$  be a fixed constant and suppose that  $G_n \in BDD(C, D_H)$  and  $G_n$  satisfies TUPLE(2) *for all n. More explicitly, for all n, we have* 

- (i)  $\deg_{G_n}(x) \le Cpn$  for all  $x \in V(G_n)$ ,
- (ii) for all  $2 \le r \le D_H$  and for all distinct vertices  $x_1, \ldots, x_r \in V(G_n)$ ,

$$
|N_{G_n}(x_1) \cap \cdots \cap N_{G_n}(x_r)| \leq Cnp^r,
$$

(iii) for all but at most  $o(n^2)$  pairs  $\{x_1, x_2\} \subseteq V(G_n)$ ,

$$
||N_{G_n}(x_1) \cap N_{G_n}(x_2)| - np^2| = o(np^2).
$$

Then  $G_n$  contains  $(1 + o(1))n^k p^e$  labeled copies of *H*.

Remark *17:* 

- (a) Replacing the parameter  $D_H$  by  $\Delta(H)$  (the maximum degree of H) may help "better understand" the Embedding Lemma.
- (b) Theorem 16 follows from Lemmas 32 and 33; it is proved in Section 3. Roughly speaking, Theorem 16 states that property TUPLE(2) implies property  $TFSUB(k)$  as long as we restrict ourselves to graphs  $G$  in an appropriate class  $BDD(C, t)$ , and the density of G is large enough.

We propose the following conjecture, Conjecture 18.

CONJECTURE 18: The parameter  $D<sub>H</sub>$  occurring in Theorem 16 may be replaced by  $d_H$ .

With Theorem 16 in hand, we may deduce the equivalence of some of the properties introduced in Section 1.1 for sparse graphs. For convenience, from now on  $\{G_n\}_{n=1}^{\infty}$  denotes a sequence of graphs with  $|V(G_n)| = n$ .

THEOREM 19: Let a real number  $C > 1$  and an integer  $k \geq 4$  be fixed. Let  $p = p(n)$  be a function of *n* with

$$
(2) \t\t\t\t\t np^{\lfloor 2k/3 \rfloor} \gg 1.
$$

*Then properties* TFSUB(k), CYCLE(4), *and* TUPLE(2) are *equivalent for any*  sequence of graphs  $\{G_n\}_{n=1}^{\infty}$  with

$$
(3) \tG_n \in \text{BDD}(C, \lfloor 2k/3 \rfloor)
$$

for all *n* and  $|E(G_n)| = (1 + o(1))p{n \choose 2}$ .

The parameter  $|2k/3|$  in conditions (2) and (3) in Theorem 19 comes from the fact that if we let

$$
(4) \t\t D(k) = \max_{H} D_H,
$$

where the maximum is taken over all triangle-free graphs on  $k$  vertices, then (as proved in Fact 35) we have  $D(k) = |2k/3|$  for all  $k \geq 4$ .

*Remark 20:* Note that if Conjecture 18 holds then the condition  $np^{\lfloor 2k/3 \rfloor} \gg 1$ in Theorem 19 may be replaced by the weaker condition  $np^{\lfloor k/2 \rfloor} \gg 1$ .

We also propose the following conjecture.

CONJECTURE 21: Let  $C > 1$  be an arbitrary constant, and let  $p = p(n) \gg n^{-1/2}$ *be a function of n. Then the implication* 

$$
DISC \Rightarrow TUPLE(2)
$$

*holds for any sequence of graphs*  $\{G_n\}_{n=1}^{\infty}$  *with*  $|E(G_n)| = (1 + o(1))p{n \choose 2}$  *as long* as  $G_n \in \text{BDD}(C, 2)$  for all large enough n.

*Remark 22:* If Conjecture 21 holds, then we may add properties DISC and EIG to the collection of equivalent properties in Theorem 19. Indeed, this follows from the result of Chung and Graham, Theorem 10, stated above.

To embed general graphs (i.e., graphs that are not necessarily triangle-free) we need a stronger property,  $\text{INDTUP}(s)$   $(s \geq 1)$ , defined as follows.

INDTUP(s): For all  $1 \leq r \leq s$  and all  $0 \leq t \leq {r \choose 2}$ ,

$$
|\{X \in [V(G_n)]^r : e(X) = t, |N(X)| \not\sim np^r\}| = o(n^r p^t).
$$

Remark 23: In the definition of INDTUP above, the expression  $o(n^r p^t)$  that appears on the right-hand side of the equation would perhaps more appropriately be  $o(n^r p^t(1-p)^{{r \choose 2}-t})$ . However, since we are interested in the case in which  $p = o(1)$  and  $s = O(1)$ , we may drop the  $(1 - p)^{{r \choose 2} - t}$  factor, which is roughly equal to 1 for such values of  $p$  and  $s$ .

We may now state our result concerning the embedding of general, not necessarily triangle-free graphs.

THEOREM 24: Let  $k \geq 3$  be an integer and let  $C > 1$  be a fixed constant. Let  $p = p(n) \gg n^{-1/(k-1)}$  be a function of n. Then, for any sequence of graphs  ${G_n}_{n=1}^{\infty}$  with  $G_n \in BDD(C, k-1)$  for all n and  $|E(G_n)| = (1 + o(1))p{n \choose 2}$ , we *have* 

- (i)  $NSUB(k + 1) \Rightarrow INDTUP(k-1),$
- (ii) INDTUP $(k-1) \Rightarrow$  NSUB $(k)$ .

Perhaps Theorem 24 may be strengthened to the following.

CONJECTURE 25: Let  $k \geq 3$  be an integer and let  $C > 1$  be a fixed constant. Let  $p = p(n) \gg n^{-1/(k-1)}$  be a function of n. Then the properties NSUB(k) and **INDTUP**( $k - 1$ ) are *equivalent for any sequence of graphs*  $\{G_n\}_{n=1}^{\infty}$  *with*  $G_n \in \text{BDD}(C, k-1)$  for all n and  $|E(G_n)| = (1 + o(1))p\binom{n}{2}.$ 

2.2 THE DIRECTED CASE. In this section, we state our main result for directed graphs, Theorem 26. The proof of this result is discussed in Section 3.3. Let  $\tilde{G}$ be a directed graph with set of vertices V and set of arcs  $\vec{E}$ . Thus  $\vec{G} = (V, \vec{E}),$ where  $\vec{E} \subseteq V \times V \setminus \{(v,v): v \in V\}$ , and if  $(u,v) \in \vec{E}$ , then  $(v,u) \notin \vec{E}$ . We denote the out-degree (resp. in-degree) of a vertex  $u \in V$  by  $d^+(u)$  (resp.  $d^-(u)$ ). We define

$$
d^{++}(u, v) = \{w \in V : (u, w) \in \vec{E} \text{ and } (v, w) \in \vec{E} \},
$$

and

$$
N_{\vec{G}}(u) = \{ v \in V : (u, v) \in \vec{E} \text{ or } (v, u) \in \vec{E} \}.
$$

For any directed graph  $\vec{G}$  we let G be the undirected graph obtained from  $\vec{G}$  by transforming its arcs to "edges" (ignoring their orientation). With this convention, clearly  $N_{\vec{G}}(u) = N_G(u)$ .

We let  $\overrightarrow{BDD}(C, t)$  be the family of all directed graphs  $\vec{G}$  such that  $G \in$  $BDD(C, t)$ . Moreover, below, given a digraph  $\vec{H}$ , we shall consider the parameters  $d_H$  and  $D_H$  of the associated undirected graph  $H$ .

Let  $\vec{G} = (V, \vec{E})$  be as above. We introduce the property  $\overrightarrow{\text{TUPLE}(2)}$  which is analogous to the property TUPLE(2) for undirected graphs.

 $\overrightarrow{\text{TUPLE}(2)}$ :  $\vec{G}$  satisfies the property  $\overrightarrow{\text{TUPLE}(2)}$  if the following holds: (a) for all but  $o(n)$  vertices  $u \in V$ ,

$$
d^+(u) = \frac{1}{2}pn(1 + o(1)),
$$

(b)

$$
\sum_{(u,v)\in V\times V} (d^{++}(u,v))^2 = n^2 \left(\frac{p^2 n}{4}\right)^2 (1+o(1)).
$$

Our embedding result for directed graphs is as follows.

THEOREM 26: Suppose that  $\vec{H}$  is a directed graph on k vertices and e arcs such that *H* is triangle-free. Let  ${\{\vec{G}_n\}}_{n=1}^{\infty}$  be a sequence of directed graph with  $|V(G_n)| = n$  for all n and with  $p = p(n) = |E(G_n)|\binom{n}{2}$  satisfying  $p \gg n^{-1/D_H}$ . Let  ${G_n}_{n=1}^{\infty} \in BDD(C, D_H)$  and  $G_n$  satisfies TUPLE(2). Then  $G_n$  contains

$$
N(\vec{H}, \vec{G}) = \frac{1}{2^e} n^k p^e (1 + o(1))
$$

*labeled copies of*  $\vec{H}$  *in*  $\vec{G}$ *.* 

### **3. Proofs of the main results**

3.1 PRELIMINARIES. The following simple definition will be important.

*Definition 27 (Degenerate orderings):* Let  $H$  be a graph. We say that  $H$  is d-degenerate if there is an ordering  $v_1, \ldots, v_k$  of the vertices of H such that  $\deg_{H_i}(v_i) \leq d$  for all  $1 \leq i \leq k$ , where  $H_i = H[\{v_1, \ldots, v_i\}]$  is the graph induced by  $\{v_1, \ldots, v_i\}$  in H. Moreover, if H is d-degenerate and this is certified by a certain ordering of the vertices of  $H$ , then we call this ordering a d-degenerate **ordering of H.** 

*Remark 28:* Let  $d = d_H = \max\{\delta(J): J \subseteq H\}$ . Then H has a d-degenerate ordering. Indeed we can find such an ordering in the following way. First select a vertex  $v \in V(H)$  such that  $\deg_H(v) = \delta(H) \leq d_H$  (by the definition of  $d_H$ ) and set  $v_k = v$ . Let  $H_{k-1} = H - v_k$ ; then we repeat the same procedure on  $H_{k-1}$  and obtain a vertex  $v_{k-1}$  with  $\deg_{H_{k-1}}(v_{k-1}) \leq \delta(H_{k-1}) \leq d_H$ . Continuing in this way, we obtain the desired ordering of  $V(H)$  after  $k = |V(H)|$  steps. In fact,  $d_H$ is the smallest integer for which  $H$  admits a d-degenerate ordering.

The following well-known result will be used often.

LEMMA 29: For all  $\eta > 0$ , there exists  $\epsilon_0 = \epsilon_0(\eta) > 0$  such that, for any family *of real numbers*  $\{a_i \geq 0: 1 \leq i \leq n\}$  *satisfying the conditions* 

(i)  $\sum_{i=1}^n a_i \ge (1 - \epsilon_0) n a$ , (ii)  $\sum_{i=1}^{n} a_i^2 \leq (1 + \epsilon_0) n a^2$ ,

*we have* 

$$
\left|\{i: a_i \sim_{\eta} a\}\right|\rangle (1-\eta)n.
$$

*Proof:* Let  $\eta > 0$  be given. We claim that  $\epsilon_0 = \eta^3/3$  will do. Let  $a_i$   $(1 \leq i \leq n)$ be as in the statement of our lemma. Set  $B = \{i: |a_i - a| \geq \eta a\}$ . To prove the lemma, we have to show that  $|B| < \eta n$ .

From the definition of B, it follows that

(5) 
$$
\sum_{i=1}^{n} (a_i - a)^2 > |B| (\eta a)^2.
$$

By hypothesis,

(6) 
$$
\sum_{i=1}^{n} (a_i - a)^2 = \sum_{i=1}^{n} a_i^2 - 2a \sum_{i=1}^{n} a_i + \sum_{i=1}^{n} a^2
$$

$$
\leq (1 + \epsilon_0)na^2 - 2a(1 - \epsilon_0)na + na^2 = 3\epsilon_0 na^2.
$$

Combining (5) and (6), we obtain  $|B|(\eta a)^2 < 3\epsilon_0 n a^2$ , which implies that  $|B| <$  $(3\epsilon_0/\eta^2)n = \eta n$ , and our lemma is proved.

3.2 PROOF OF THEOREMS 16 AND 19. In this section, we shall prove Theorems 16 and 19. The proof of Theorem 19 is broken down into a few steps, and two of these steps will basically form the proof of Theorem 16 (see Section 3.2.3).

The proof of Theorem 19 involves the following components. Let  $k \geq 4$  and  $C > 1$  be given. Suppose

$$
(7) \t\t\t\t $np^{d(k)} \gg 1,$
$$

where

$$
d(k) = \max_{H} d_H,
$$

and the maximum is taken over all triangle-free graphs  $H$  on  $k$  vertices. It is easy to see that, in fact,  $d(k) = |k/2|$ . However, in what follows, we often prefer to write  $d(k)$  instead of its explicit value.

Suppose  $G_n \in BDD(C, D(k))$  for all n, where  $D(k)$  is as defined in (4). Recall that  $D(k) = \lfloor 2k/3 \rfloor$ .

*Remark 30:* The reader may have noticed that our hypothesis on  $p = p(n)$ above, namely (7), is weaker than the hypothesis in Theorem 19. It turns out that (7) is the natural hypothesis for the proof we shall present. However, as a simple argument shows, the condition that  $BDD(C, D(k))$  should hold for  $G_n$ implies that, in fact, we have  $pn^{D(k)} = pn^{\lfloor 2k/3 \rfloor} \gg 1$  (see (2)).

The proof of Theorem 19 is broken down as follows.

(a) Let  $\text{NSUB}(C_4)$  be the property  $\text{NSUB}(k)$  applied to  $H = C_4$ . Note that

$$
CYCLE(4) = NSUB(C_4).
$$

Since  $C_4$  is a triangle-free graph and  $TFSUB(k) \Rightarrow TFSUB(k-1)$  (see Fact 48), the implication

$$
TFSUB(k) \Rightarrow NSUB(C_4) = CYCLE(4)
$$

is immediate for any any sequence of graphs  $\{G_n\}_{n=1}^{\infty}$  (recall  $k \geq 4$ ).

(b) Fact 31 below, which may be proved by standard arguments, asserts that

$$
CYCLE(4) = NSUB(C_4) \Rightarrow TUPLE(2),
$$

for any sequence of dense enough graphs  ${G_n}_{n=1}^{\infty}$ .

(c) Lemma 32 (see below) tells us that

$$
TUPLE(2) \Rightarrow TUPLE(d(k)).
$$

(d) The major piece in the proof of Theorem 19 is the implication

 $\text{TUPLE}(d(k)) \Rightarrow \text{TFSUB}(k).$ 

This implication is stated in its equivalent form as Lemma 33 and its proof, see Section 33, constitutes the main task of this chapter.

(f) Finally, the equality  $D(k) = |2k/3|$  is proved in Fact 35.

Steps (c) and (d) above basically constitute the proof of Theorem 16 (see Section 3.2.3).

FACT 31: Let  $C > 1$  be a constant and suppose  $p = p(n)$  is such that  $np^2 \gg 1$ . Then the implication

$$
CYCLE(4) \Rightarrow TUPLE(2)
$$

holds for any sequence of graphs  $\{G_n\}_{n=1}^{\infty}$  with  $|E(G_n)| = (1 + o(1))p{n \choose 2}$ .

*Proof:* Let  $\{G_n\}_{n=1}^{\infty}$  be as in the statement of our result and suppose CYCLE(4) holds. We have

(s)

$$
\sum_{\{x,y\} \subseteq V, x \neq y} |N_{G_n}(x) \cap N_{G_n}(y)| = \sum_{v \in V} {\deg(v) \choose 2}
$$

$$
\geq n {\binom{n^{-1} \sum_{v \in V} \deg(v)}{2}} = n {\binom{(1+o(1))pn}{2}}
$$

$$
= (1+o(1)){\binom{n}{2}}p^2n.
$$

Observe that the number of labeled (not necessarily induced) copies of  $C_4$  in  $G_n$ is

(9) 
$$
N(C_4, G_n) = 4 \sum_{\{x,y\} \subseteq V, x \neq y} \binom{|N_{G_n}(x) \cap N_{G_n}(y)|}{2}.
$$

Since  ${G_n}_{n=1}^{\infty}$  satisfies property CYCLE(4), we have

(10) 
$$
\sum_{\{x,y\}\subseteq V, x\neq y} \binom{|N_{G_n}(x)\cap N_{G_n}(y)|}{2} = \frac{1}{4}N(C_4, G_n) = \frac{1}{4}(1+o(1))(pn)^4.
$$

We now observe that the Cauchy-Schwarz inequality tells that

$$
\sum_{\{x,y\} \subseteq V, x \neq y} |N_{G_n}(x) \cap N_{G_n}(y)|^2 \ge {n \choose 2}^{-1} \left\{ \sum_{\{x,y\} \subseteq V, x \neq y} |N_{G_n}(x) \cap N_{G_n}(y)| \right\}^2
$$
  
(11)  

$$
\gg \sum_{\{x,y\} \subseteq V, x \neq y} |N_{G_n}(x) \cap N_{G_n}(y)|,
$$

where in the last inequality we used (8) and the fact that  $p^2n \to \infty$  as  $n \to \infty$ . Now from (10) and (11), we obtain that

(12) 
$$
\sum_{\{x,y\}\subseteq V, x\neq y} |N_{G_n}(x) \cap N_{G_n}(y)|^2 = \frac{1}{2}(1+o(1))(pn)^4.
$$

Now Lemma 29 together with (8) and (12) imply that

$$
|N_{G_n}(x) \cap N_{G_n}(y)| = (1 + o(1))p^2 n,
$$

for all but at most  $o(n^2)$  pairs  $\{x, y\} \subseteq V$ .

We sketch the proof of Lemma 32 (stated below) in Section 3.3, by deriving it as a corollary of Lemma 41. However, we mention that Lemma 32 was first proved in Luczak et al. [17]; the proof of Lemma 41 is a simple extension of the proof in [17] to the directed case.

LEMMA 32: Let  $t \geq 2$  and  $C > 1$  be fixed and suppose  $p = p(n)$  satisfies  $np^r \gg 1$ . Let  $\{G_n\}_{n=1}^{\infty}$  be a sequence of graphs with  $G_n \in BDD(C, 2)$  for all n and  $|E(G_n)| = (1 + o(1))p{n \choose 2}$ . Then the *implication* 

$$
TUPLE(2) \Rightarrow TUPLE(r)
$$

*holds for*  $\{G_n\}_{n=1}^{\infty}$ .

To complete the proof of Theorem 19, we need to prove Lemma 33 and Fact 35 below.

Let  $H$  be an arbitrary triangle-free graph on  $k$  vertices and  $e$  edges. Recall

$$
d_H = \max_{J \subseteq H} \delta(J) \quad \text{and} \quad D_H = \min\{2d_H, \Delta(H)\}
$$

(see Definitions 13 and 15).

LEMMA 33: Let  $\delta > 0$ ,  $C > 1$ , and  $k \geq 4$  be fixed. Let H be as above and let  $p = p(n) = o(1)$  be a function of *n* satisfying  $np^{D_H} \gg 1$ . Then there exist  $\epsilon > 0$  *and an integer n<sub>2</sub> for which the following holds. If a sequence of graphs*  ${G_n}_{n=1}^{\infty}$ with  $|V(G_n)| = n$  is such that, for all *n*,

(i)  $G_n \in \text{BDD}(C, D_H)$ , (ii)  $p = p(n) = e(G_n) {n \choose 2}^{-1}$ (iii) TUPLE<sub> $\epsilon$ </sub> $(d_H)$  holds for  $G_n$ ,

*then* 

$$
N(H, G_n) \sim_\delta n^k p^e
$$

*holds for all*  $n \geq n_2$ .

*Remark 34:* As a prerequisite to our proof of the Embedding Lemma (Theorem 16), we first strengthen TUPLE(2) to TUPLE( $d_H$ ) (see Lemma 32), which reduces the Embedding Lemma to Lemma 33. By the hypothesis of Lemma 33, for all  $r \leq d_H$ , there are  $\sim n^r/r!$  "good" r-subsets (i.e.,  $X \subseteq V(G_n)$ ,  $|X| = r$  and  $|N_{G_n}(X)| \sim np^r$ ).

The proof of Lemma 33 is based on an inductive argument in which the vertices of  $H$  are embedded one by one into  $G_n$ . To keep the induction working, we will embed  $H' = H - v$  (in  $\sim n^{|V(H')|} p^{|E(H')|}$  ways) in such a way that

(t) most of the neighborhoods of the future images of  $v$  (in the already embedded copies of  $H'$ ) are "good", i.e., most of the copies of  $H'$  in  $G_n$  have  $\sim np^{\deg_{H'}(v)}$  potential images for v.

If H is triangle-free, one can show that most of such neighborhoods form an independent set in  $G_n$ , which makes it possible to guarantee the property  $(t)$ above.

If H is not triangle-free, the number of such neighborhoods is  $N < p n^{\deg_{H'}(v)} =$  $o(n^{\deg_{H'}(v)})$ , where  $p = p(n) = o(1)$  is the density of  $G_n$ . In this case, this number N is too small to keep the inductive argument working.

In order to extend the above proof scheme to general graphs  $H$ , we need to replace property TUPLE( $d_H$ ) by a stronger one, namely, INDTUP( $d_H$ ) (see Section 2.1).

The proof of Lemma 33 is delayed until Section 3.2.2. We finish this section with the statement and proof of Fact 35.

**FACT** 35: Let an integer  $k \geq 1$  be given and let  $D(k) = \max_{H} D_{H}$ , where the maximum is taken over all triangle-free graphs  $H$  on  $k$  vertices. Then

$$
D(k) = \left\lfloor \frac{2k}{3} \right\rfloor.
$$

*Proof:* Suppose  $k \geq 1$  is given, and let  $D(k)$  be as in the statement of the fact. We may clearly suppose that  $k \geq 2$ .

First we show that  $D(k) \ge |2k/3|$ . It suffices to exhibit a triangle-free graph H on k vertices for which  $D_H \geq \lfloor 2k/3 \rfloor$ . We show that the complete bipartite graph  $H = K([k/3], 2k/3])$  with vertex classes of cardinality  $[k/3]$  and  $[2k/3]$  will do. We have  $d_H = \max_{J \subseteq H} \delta(J) \ge \delta(H) = [k/3]$ . Therefore  $2d_H \ge 2[k/3] \ge$  $2k/3 \ge |2k/3|$ . Since  $\Delta(H) = |2k/3|$ , we have  $D_H = \min\{2d_H, \Delta(H)\} \ge$  $|2k/3|$ .

Let us now show that  $D(k) \leq |2k/3|$ . To that end, let H be a triangle-free graph on k vertices. We show that  $D_H \leq 2k/3$ . Suppose  $\Delta(H) > 2k/3$ . Let u be a vertex of H with maximum degree, and suppose  $v_1, \ldots, v_k$  is an ordering of the vertices of H with the last  $\Delta(H)$  vertices  $v_{k-\Delta(H)+1},\ldots, v_k$  forming the neighborhood of u in H. We claim that this is a  $([k/3] - 1)$ -degenerate ordering of the vertices of H.

To see this, as usual, let  $H_h = H[{v_1,\ldots,v_h}]$  for all  $1 \leq h \leq k$ . Since H is triangle-free, every vertex  $v_h$  with  $k - \Delta(H) + 1 \leq h$  has its neighborhood contained in the set  $\{v_1, \ldots, v_{k-\Delta(H)}\}$ . Thus  $\deg_{H_h}(v_h) \leq k-\Delta(H) < k/3$  for all  $k - \Delta(H) + 1 \leq h \leq k$ , hence for all  $1 \leq h \leq k$ . Therefore  $\deg_{H_h}(v_h) \leq \lceil k/3 \rceil - 1$ for all h and we do indeed have a  $([k/3] - 1)$ -degenerate ordering as claimed. Hence  $2d_H \leq 2([k/3]-1) \leq 2k/3$ , and hence  $D_H = \min\{2d_H, \Delta(H)\} \leq 2k/3$ , as required.

*3.2.1 The extension lemma and a corollary.* In this section, we shall establish a simple lemma, the *Extension* Lemma, and a corollary, Corollary 38. They will be used in the proofs of Theorems 16, 19, and 24.

Let H and G be graphs. In what follows, H will always have k vertices and  $e$ edges and G will always have n vertices. In this section,  $H$  is an arbitrary graph; in Sections 3.2.2 and 3.2.3, we shall consider triangle-free graphs H.

Let  $\mathcal{E}(H, G)$  denote the set of all embeddings of H in G. Moreover, if  $l \in [k]$ and  $F = (v_1, \ldots, v_l) \in V(H)^l$  and  $X = (x_1, \ldots, x_l) \in V(G)^l$ , let  $\mathcal{E}(H, G, F, X)$ denote the set of all embeddings  $f \in \mathcal{E}(H, G)$  such that  $f(v_i) = x_i$  for all  $i \in [l]$ . Clearly, we may always assume that the  $v_i$   $(1 \leq i \leq l)$  and the  $x_i$   $(1 \leq i \leq l)$  are all distinct. Recall that  $F^{\text{set}} = \{v_1, \ldots, v_l\}$  and  $X^{\text{set}} = \{x_1, \ldots, x_l\}.$ 

Below, for any graph  $H'$  and any *l*-tuple F of vertices of  $H'$ , we write  $w(H', F)$ for the number of edges in  $H'$  that do not have both endpoints in  $F<sup>set</sup>$ . That is,

$$
w(H', F) = |E(H')| - |E(H'[Fset])|.
$$

We now prove the following simple lemma.

LEMMA 36 (Extension Lemma): Let graphs G and H be given. Suppose  $0 \leq$  $l \le \max\{2, d_H\}$ , and let  $F \in V(H)^l$  and  $X \in V(G)^l$  be fixed. Let  $C > 0$  be a *constant and suppose*  $G \in BDD(C, D_H)$ . Then

$$
|\mathcal{E}(H, G, F, X)| \leq C^{k-l} n^{k-l} p^{w(H, F)},
$$

where  $k = |V(H)|$ ,  $n = |V(G)|$ , and  $p = e(G) {n \choose 2}^{-1}$ . In particular, if  $F^{\text{set}} \subseteq V(H)$ *is a stable set, then* 

$$
|\mathcal{E}(H, G, F, X)| \leq C^{k-l} n^{k-l} p^e,
$$

*where*  $e = |E(H)|$ .

In Claim 37 below, we prove the Extension Lemma under a stronger hypothesis. We then show that the hypothesis of this claim is satisfied even with the weaker assumption of the Extension Lemma.

CLAIM 37: *Let G, H, F and X be as in* Lemma *36.* Assume *(in addition to the hypotheses of Lemma 36) that there exists a*  $D_H$ *-degenerate ordering*  $v_1, \ldots, v_k$ *of H such that*  $F^{\text{set}} = \{v_1, \ldots, v_\ell\}$ . Then

$$
|\mathcal{E}(H, G, F, X)| \leq C^{k-l} n^{k-l} p^{w(H, F)},
$$

*where k* =  $|V(H)|$ , *n* =  $|V(G)|$ , and  $p = e(G) {n \choose 2}^{-1}$ .

*Proof:* Consider a  $D_H$ -degenerate ordering  $v_1, \ldots, v_k$  of H with

$$
F^{\text{set}} = \{v_1, \ldots, v_l\}.
$$

We shall prove that

(\*) for all  $l \leq h \leq k$ , we have

$$
(13) \qquad \qquad |\mathcal{E}(H_h, G, F, X)| \leq C^{h-l} n^{h-l} p^{w(H_h, F)},
$$

where  $H_h = H[{v_1, \ldots, v_h}].$ 

We prove (\*) by induction on h. The case in which  $h = l$  is clear. Now suppose that  $l \leq h \leq k$  and that (13) holds for smaller values of h. We wish to prove (13). To that end, first observe that, by our choice of the ordering  $v_1, \ldots, v_k$  of the vertices of H, we have  $\deg_{H_h}(v_h) \leq D_H$ . Therefore, as  $G \in BDD(C, D_H)$ , if we let  $r = \deg_{H_h}(v_h)$ , then any embedding of  $H_{h-1}$  can be extended in at most  $Cnp<sup>r</sup>$  ways to an embedding of  $H<sub>h</sub>$ . Using the induction hypothesis and the fact that  $w(H_h, F) = w(H_{h-1}, F) + r$ , we have

$$
|\mathcal{E}(H_h, G, F, X)| \le Cnp^r |\mathcal{E}(H_{h-1}, G, F, X)|
$$
  

$$
\le Cnp^r \times C^{h-l-1} n^{h-l-1} p^{w(H_{h-1}, F)} = C^{h-l} n^{h-l} p^{w(H_h, F)},
$$

verifying  $(13)$ . This completes the induction step and assertion  $(*)$  follows by induction. Our claim follows on setting  $h = k$  in (13).

*Proof of Lemma 36:* To prove Lemma 36 we first show that there exist a  $D(H)$ degenerate ordering  $v_1, \ldots, v_k$  of H such that  $F^{\text{set}} = \{v_1, \ldots, v_\ell\}$ . Then we apply Claim 37. We distinguish the following two cases.

CASE 1:  $d_H=1$  (*H* is a forest).

Since  $d_H = 1$ , there exist a 1-degenerate ordering  $L = v_1, \ldots, v_k$  of H. By hypothesis,  $|F| \leq 2 = \max\{2, d_H\}$ . If  $F^{\text{set}} = \emptyset$  then the Lemma is trivial. If  $F<sup>set</sup> = \{v_i\}$ , we consider the new ordering

$$
L' = v_i, v_1, \ldots, \hat{v_i}, \ldots, v_k
$$

where  $\hat{x}$  means that the element x is omitted in the listing of  $L'$ . Since L is a 1-degenerate ordering, it follows that  $L'$  is a 2-degenerate ordering.

If  $F<sup>set</sup> = {v<sub>i</sub>, v<sub>j</sub>}$ , let  $L_{v<sub>i</sub>}$  and  $L_{v<sub>j</sub>}$  be the set of vertices in the left neighborhood of  $v_i$  and  $v_j$  respectively in the ordering L. Thus  $|L_{v_i} \cup L_{v_j}| \leq 2$  and  $|L_{v_i} \cap L_{v_j}| \leq 1$ because L is a 1-degenerate ordering. Moreover, if  $|L_{v_i} \cap L_{v_j}| = 1$  then  $L_{v_i} \cap L_{v_j} =$  $L_{v_i} \cup L_{v_i}$ . This leads to the following possibilities:

(i)  $L_{v_i} \cup L_{v_j} = \emptyset$ .

Consider the ordering

$$
L'=v_i,v_j,v_1,\ldots,\hat{v_i},\ldots,\hat{v_j},\ldots,v_k.
$$

Since  $L = v_1, \ldots, v_k$  is a 1-degenerate ordering and  $L_{v_i} \cup L_{v_j} = \emptyset$ , it is clear that L' is a 1-degenerate ordering with  $F<sup>set</sup> = {v<sub>i</sub>, v<sub>j</sub>}$ .

 $\text{(ii)}\ \ L_{v_i} \cap L_{v_j} \neq \emptyset.$ 

In this case, recall that  $L_{v_i} \cap L_{v_j} = L_{v_i} \cup L_{v_j} = \{v_s\}.$  Now consider the ordering

$$
L'=v_i,v_j,v_s,v_1,\ldots,\hat{v_s},\ldots,\hat{v_i},\ldots,\hat{v_j},\ldots,v_k.
$$

The vertex  $v_s$  has 2 left neighbors  $(v_i \text{ and } v_j)$  in the ordering  $L'$ . Furthermore, since H is a forest (because  $d_H = 1$ ) and any vertex  $u \notin \{v_i, v_j, v_s\}$ is joined to at most one vertex in  $\{v_i, v_j, v_s\}$ , the left degree of u in the ordering  $L'$  is at most 2. Consequently,  $L'$  is a 2-degenerate ordering of  $H$ with  $F^{\text{set}} = \{v_i, v_j\}.$ 

(iii)  $L_{v_i} \cup L_{v_j} \neq \emptyset$  and  $L_{v_i} \cap L_{v_j} = \emptyset$ . Recall that  $|L_{v_i} \cup L_{v_j}| \leq 2$ . Consider the ordering

$$
L'=v_i,v_j,v_1,\ldots,\hat{v_i},\ldots,\hat{v_j},\ldots,v_k.
$$

Thus the left degree of any  $x \in L_{v_i} \cup L_{v_j}$  changes from  $d_x \leq 1$  in the ordering L to  $d'_x \leq 2$  in the ordering L'. Furthermore, the left degree of any other vertex  $y \notin L_{v_i} \cup L_{v_j}$  remains unchanged, that is  $d_y = d'_y \leq 1$  in the ordering L'. Thus L' is a 2-degenerate ordering of H with  $F<sup>set</sup> = \{v_i, v_j\}.$ 

CASE 2:  $d_H \geq 2$ .

By Remark 28, our graph H has a  $d_H$ -degenerate ordering L. We now observe that if we relocate the vertices in  $F$  at the beginning of that ordering, then we obtain a  $D_H$ -degenerate ordering of the vertices of H. To see this, let  $L' = v_1, \ldots, v_k$ be this ordering. If  $D_H = \Delta(H)$ , then clearly **any** ordering is a  $D_H$ -ordering. Thus suppose that  $D_H = 2d_H$ . As in Definition 27, let  $H_h = H[{v_1, \ldots, v_h}]$  $(0 \leq h \leq k)$ . Due to the assumption  $l \leq \max\{2, d_H\} = d_H$ , the left degree of  $v_h$ with respect to the ordering  $L'$  is

$$
d'_{v_h} = \deg_{H_h}(v_h) \le l + d_H \le 2d_H = D_H.
$$

This proves that there exists a  $D(H)$ -degenerate ordering  $L' = v_1, \ldots, v_k$  of H such that  $F = \{v_1, \ldots, v_\ell\}$ . Now our lemma follows from Claim 37.

The following notation will be used in the next corollary. Set

 $\mathcal{E}^{\text{ni}}(H, G) = \{f \in \mathcal{E}(H, G): f \text{ is a non-induced embedding}\}.$ 

COROLLARY 38: Let  $C>1$ ,  $k \geq 1$ , and  $\eta > 0$  be fixed and let  $p=p(n) = o(1)$ *be a function of n. Then there exists an integer*  $n_1$  *such that, for any graph H* with k vertices and any graph  $G \in BDD(C, D_H)$  with  $|E(G)| \leq pn^2$  and  $n = |V(G)| \geq n_1$ , we have

$$
(14) \t\t\t\t |\mathcal{E}^{\rm ni}(H,G)| < \eta n^k p^e,
$$

where and  $e = |E(H)|$ .

*Proof:* Let  $\eta$ ,  $p$ ,  $H$  and  $G$  be as in the statement of the corollary. The case in which  $k = 1$  is clear, hence we suppose  $k \geq 2$ . To count non-induced embeddings of H in G, we select an edge  $\{x, y\} \in E(G)$  and a pair u, v of distinct, nonadjacent vertices of H. By Lemma 36 applied to  $F = (u, v)$  and  $X = (x, y)$ , the number of embeddings  $f: V(H) \to V(G)$  such that  $f(u) = x$  and  $f(v) = y$  is at most  $C^{k-2}n^{k-2}p^e$ .

Since  $\{x, y\} \in E(G)$  can be selected in at most  $pn^2$  ways, the ordered pair X can be selected in  $\leq 2pn^2$  ways. Similarly, F can be selected in  $\leq 2{k \choose 2}$  ways. Therefore

$$
|\mathcal{E}^{\rm ni}(H,G)| \le 4pn^2 \binom{k}{2} C^{k-2} n^{k-2} p^e < 2k^2 C^{k-2} n^k p^{e+1}.
$$

Since  $p = o(1)$  and C, k, and  $\eta > 0$  are constants, there exists an integer  $n_1$  such that (14) holds for all  $n \geq n_1$ , as required.

*3.2.2 Proof of Lemma 33.* This section is devoted to the proof of Lemma 33. We start by introducing some notation and terminology. Let  $G_n$  be an *n*-vertex graph with  $p = e(G_n)\binom{n}{2}^{-1}$ . For every integer  $r \ge 1$  and real  $\epsilon > 0$ , we let

$$
\mathcal{B}(\epsilon, r) = \{ X \in [V(G_n)]^r : |N_{G_n}(X) - np^r| \ge \epsilon n p^r \},
$$

and

$$
\mathcal{B}_{\text{stb}}(\epsilon, r) = \{ X \in \mathcal{B}(\epsilon, r) : X \text{ is a stable set in } G_n \}.
$$

A set  $B \subseteq V(G_n)$  will be said to be  $\epsilon$ -**bad** if  $B \in \mathcal{B}_{\text{stb}}(\epsilon, r)$  for some  $r = |B|$  with  $1 \leq r \leq d_H$ .

If  $G_n$  satisfies TUPLE<sub> $\epsilon$ </sub> $(d_H)$ , we have

$$
|\mathcal{B}_{\text{stb}}(\epsilon, r)| \leq |\mathcal{B}(\epsilon, r)| < \epsilon \binom{n}{r}
$$

for all  $r \leq d_H$ .

Let us fix a triangle-free graph  $H$  as in the statement of Lemma 33. We shall also fix a  $d_H$ -degenerate ordering  $v_1, \ldots, v_k$  of the vertices of H. As before, we let  $H_h = H[\{v_1, \ldots, v_h\}]$   $(1 \leq h \leq k)$ . The next definition introduces several important terms for our proof.

*Definition 39:* For (i)–(iii) below, we suppose that  $1 < h \leq k$ .

- (i) An embedding  $f: V(H_{h-1}) \to V(G_n)$  is **clean** if the set  $f(N_{H_h}(v_h))$  is not  $\epsilon$ -bad; i.e.,  $f(N_{H_h}(v_h)) \notin \mathcal{B}_{\text{stb}}(\epsilon, r)$  for any r with  $1 \leq r \leq d_H$ . Otherwise, f is polluted. When we use the terms 'clean' and 'polluted', the value of  $\epsilon$  will be clear from the context.
- (ii) Set

$$
\mathcal{E}_{\text{poll}}(H_{h-1}, G_n) = \{ f \in \mathcal{E}(H_{h-1}, G_n) : f \text{ is polluted} \}.
$$

(iii) Finally, set

$$
\mathcal{E}_{\text{clean}}^{\text{ind}}(H_{h-1}, G_n) = \{ f \in \mathcal{E}(H_{h-1}, G_n) : f \text{ is clean and induced} \}.
$$

Now we are ready to state another corollary of the Extension Lemma, Corollary 40 below. This corollary, along with Corollary 38, will be the key ingredients in the proof of Lemma 33.

COROLLARY 40: Let  $\epsilon > 0$ ,  $C > 1$ , and  $k \geq 4$  be fixed. Suppose  $1 < h \leq k$  and set  $r = \deg_{H_h}(v_h)$ . If  $G_n \in BDD(C, D_H)$  satisfies TUPLE<sub> $\epsilon(d_H)$ </sub>, then

$$
|\mathcal{E}_{\text{poll}}(H_{h-1}, G_n)| \le \epsilon C^{h-r-1} n^{h-1} p^{e(H_{h-1})},
$$

where  $p = e(G_n) {n \choose 2}^{-1}$ . In particular, for any  $\eta > 0$ ,  $C > 1$ , and k, there is an  $\epsilon > 0$  that guarantees that  $|\mathcal{E}_{\text{poll}}(H_{h-1}, G_n)| \leq \eta n^{h-1} p^{e(H_{h-1})}$ .

*Proof:* By definition, an embedding f of  $H_{h-1}$  in  $G_n$  is polluted if  $f(N_{H_h}(v_h)) \in$  $\mathcal{B}_{\text{stb}}(\epsilon, r)$ . Fix an r-tuple F such that  $F^{\text{set}} = N_{H_h}(v_h)$ . We have

$$
\mathcal{E}_{\text{poll}}(H_{h-1}, G_n) = \bigcup_{X} \mathcal{E}(H_{h-1}, G_n, F, X),
$$

where the union is taken over all r-tuples X such that  $X^{\text{set}} \in \mathcal{B}_{\text{stb}}(\epsilon, r)$ . Therefore

(15) 
$$
|\mathcal{E}_{\text{poll}}(H_{h-1}, G_n)| \leq \sum_X |\mathcal{E}(H_{h-1}, G_n, F, X)|,
$$

where the sum is over the same r-tuples X. Since TUPLE<sub> $\epsilon$ </sub>(d<sub>H</sub>) holds for  $G_n$ and  $r = \deg_{H_h}(v_h) \leq d_H$ , the number of r-tuples X that we are summing over in (15) is at most  $\epsilon n^r$ . Observe also that  $N_{H_h}(v_h)$  is a stable set in  $H_h$ , because  $H_h \subseteq H$  is triangle-free. We now apply Lemma 36 to deduce from (15) that  $|\mathcal{E}_{\text{poll}}(H_{h-1}, G_n)|$  is at most

$$
\epsilon n^r \times C^{h-r-1} n^{h-r-1} p^{e(H_{h-1})} = \epsilon C^{h-r-1} n^{h-1} p^{e(H_{h-1})},
$$

and our corollary follows.

We are now ready to prove Lemma 33. We start by outlining the idea of the proof.

*Proof strategy* for *Lemma 33:* The proof uses an inductive argument. To keep the induction step working, we need the Extension Lemma, Lemma 36. This lemma yields an upper bound on the number of those "copies" of  $H$  in  $G_n$  that contain a fixed copy of  $H[F] \subseteq H$  for some  $F \subseteq V(H)$ .

Next, we use Corollary 38 to infer that most of the copies of H in  $G_n$  are induced copies. Then we further restrict the domain to a certain class of embeddings, called clean embeddings, and show that the number of polluted (i.e., not clean) embeddings is negligible. This enables us to reduce the proof of Lemma 33 to the special case when the embeddings of  $H$  in  $G_n$  are clean and induced.

*Proof of Lemma 33:* Throughout this proof, we suppose that  $C > 1$  is a fixed constant and that  $G_n \in \text{BDD}(C, D_H)$ . We let  $p = e(G_n) {n \choose 2}^{-1}$ , and suppose that  $np^{d_H} \geq np^{D_H} \gg 1$ . Recall that we have a fixed  $d_H$ -degenerate ordering  $v_1, \ldots, v_k$  of the vertices of H, and that  $H_h = H[\{v_1, \ldots, v_h\}]$   $(1 \leq h \leq k)$ .

We shall prove by induction on  $h$  that

(\*\*) for all  $1 \leq h \leq k$  and all  $\delta > 0$ , there is  $\epsilon > 0$  such that if  $G_n$  satisfies TUPLE<sub> $\epsilon$ </sub> $(d_H)$ , then

(16) 
$$
|\mathcal{E}(H_h, G_n)| \sim_{\delta} n^h p^{e(H_h)},
$$

as long as  $n$  is sufficiently large.

Note that (16) clearly holds for any  $\delta > 0$  for  $h = 1$ . Now suppose that  $1 < h \leq k$  and that (16) holds for smaller values of h for all  $\delta > 0$ . Let  $\delta > 0$ be given. We wish to show that (16) holds if  $G_n$  satisfies TUPLE<sub> $\epsilon$ </sub>( $d_H$ ) for small enough  $\epsilon$  and n is large enough.

We start by showing the lower bound, that is,  $|\mathcal{E}(H_h, G_n)| > (1 - \delta)n^h p^{e(H_h)}$ . Let  $\delta' = \min{\{\delta/4, \delta/2C\}}$ , and let  $\epsilon' = \epsilon'(\delta')$  be the value of  $\epsilon$  given by the induction hypothesis to guarantee that

$$
|\mathcal{E}(H_{h-1}, G_n)| \sim_{\delta'} n^{h-1} p^{e(H_{h-1})},
$$

as long as *n* is sufficiently large. Now put  $\eta = \delta'/2$ . Corollary 38 tells us that if  $n$  is large enough, then

(18) 
$$
|\mathcal{E}^{\text{ni}}(H_{h-1}, G_n)| \leq \eta n^{h-1} p^{e(H_{h-1})}.
$$

Also, let  $\epsilon'' = \epsilon''(\eta)$  be the value of  $\epsilon$  whose existence is guaranteed in Corollary 40 to ensure that

(19) 
$$
|\mathcal{E}_{\text{pol}}(H_{h-1}, G_n)| \le \eta n^{h-1} p^{e(H_{h-1})}.
$$

We now let  $\epsilon = \min{\{\epsilon', \epsilon'', \delta/8\}}$ , and claim that this choice of  $\epsilon$  will do. Our induction step is reduced to proving this claim.

For future reference, observe that we have

(20) 
$$
(1 - 2\delta')(1 - 2\epsilon) \ge 1 - \delta,
$$

(21) 
$$
(1+\delta')(1+\epsilon) \leq 1+\delta/2,
$$

and

$$
\delta' C \leq \delta/2.
$$

Let  $r = \deg_{H_h}(v_h) \leq d_H$ . Note that then  $e(H_{h-1}) = e(H_h) - r$ . By our choice of  $\epsilon$ , if *n* is sufficiently large, then the number of embeddings in  $\mathcal{E}(H_{h-1}, G_n)$  that are polluted or non-induced is

$$
\leq 2\eta n^{h-1} p^{e(H_{h-1})} = \delta' n^{h-1} p^{e(H_{h-1})} = \delta' n^{h-1} p^{e(H_h)-r}
$$

(see (18) and (19)). Hence, by (17), the number  $|\mathcal{E}_{clean}^{ind}(H_{h-1},G_n)|$  of clean induced embeddings of  $H_{h-1}$  in  $G_n$  is such that

(23) 
$$
(1-2\delta')n^{h-1}p^{e(H_h)-r} < |\mathcal{E}_{\text{clean}}^{\text{ind}}(H_{h-1}, G_n)| < (1+\delta')n^{h-1}p^{e(H_h)-r}.
$$

Given  $f' \in \mathcal{E}_{clean}^{ind}(H_{h-1}, G_n)$ , we may estimate from below the number of embeddings  $f \in \mathcal{E}(H_h, G_n)$  that extend  $f'$  as follows. Since  $f'$  is clean, by definition  $f'(N_{H_h}(v_h)) \notin \mathcal{B}_{\text{stb}}(\epsilon, r)$ . Equivalently, either

- (a)  $f'(N_{H_h}(v_h))$  is not a stable set in G, or
- (b)  $|N_{G_n}(N_{H_h}(v_h)) np^r| < \epsilon np^r$  holds.

Since *H* is triangle-free, the set  $N_{H_h}(v_h)$  is a stable set in  $H_h$ . Since  $f'$  is induced, the set  $f'(N_{H_h}(v_h))$  is also a stable set and consequently (a) fails to hold. Thus (b) must hold, that is,

$$
(24) \t\t\t |N_{G_n}(N_{H_h}(v_h)) - np^r| < \epsilon np^r.
$$

Note that, to obtain an extension  $f \in \mathcal{E}(H_h, G_n)$  of f', we must simply select  $f(v_h)$  in  $N_{G_n}(f'(N_{H_h}(v_h))) \setminus f'(V(H_{h-1}))$ . Consequently, the number of extensions of  $f'$  to embeddings of  $H_h$  in  $G_n$  is at least

$$
(25)\ \left|N_{G_n}(f'(N_{H_h}(v_h)))\setminus f'(V(H_{h-1}))\right|\geq (1-\epsilon)np^r-(h-1)\geq (1-2\epsilon)np^r,
$$

where we used (24), the fact that  $np^r \ge np^{d_H} \gg 1$ , and that n is large. Combining  $(20)$ , the lower bound in  $(23)$ , and  $(25)$ , we obtain that

(26) 
$$
|\mathcal{E}(H_h, G_n)| > (1-2\delta')n^{h-1}p^{e(H_h)-r}(1-2\epsilon)np^r \ge (1-\delta)n^h p^{e(H_h)}.
$$

Now we need to show that  $|\mathcal{E}(H_h, G_n)| < (1+\delta)n^h p^{e(H_h)}$ . Fix  $f' \in \mathcal{E}(H_{h-1}, G_n)$ . The number of extensions of  $f'$  to embeddings of  $H_h$  in  $G_n$  is bounded from above by

(27) 
$$
|N_{G_n}(f'(N_{H_h}(v_h)))|.
$$

If, furthermore,  $f' \in \mathcal{E}_{clean}^{ind}(H_{h-1}, G_n)$ , then we know that (24) holds and hence the quantity in (27) is  $\leq (1+\epsilon)np^r$ . Combining this fact with the upper bound in (23) and recalling (21), we obtain that the number of embeddings  $f \in \mathcal{E}(H_h, G_n)$ whose restrictions to  $V(H_{h-1})$  are in  $\mathcal{E}_{clean}^{ind}(H_{h-1}, G_n)$  is

(28) 
$$
\langle (1 + \delta')n^{h-1}p^{e(H_h)-r}(1+\epsilon)np^{r} = (1 + \delta')(1+\epsilon)n^{h}p^{e(H_h)}
$$

$$
\leq (1 + \frac{\delta}{2})n^{h}p^{e(H_h)}.
$$

We already know that  $|\mathcal{E}(H_{h-1}, G_n) \setminus \mathcal{E}_{clean}^{ind}(H_{h-1}, G_n)| \leq \delta' n^{h-1} p^{e(H_h)-r}$ . Since  $r = \deg_{G_n}(v_h) \le d_H \le D_H$  and  $G_n \in BDD(C, D_H)$ , each such embedding f' gives rise to  $\leq Cpn^r$  embeddings  $f \in \mathcal{E}(H_h, G_n)$ . Therefore, the number of embeddings  $f \in \mathcal{E}(H_h, G_n)$  whose restrictions to  $V(H_{h-1})$  are not in  $\mathcal{E}^{\text{ind}}_{\text{clean}}(H_{h-1}, G_n)$  is, by (22),

(29) 
$$
\leq \delta' n^{h-1} p^{e(H_h)-r} \times C n p^r \leq \frac{\delta}{2} n^h p^{e(H_h)}.
$$

From (28) and (29), we deduce that

(30) 
$$
|\mathcal{E}(H_h, G_n)| < (1+\delta)n^h p^{e(H_h)}.
$$

Inequalities (26) and (30) complete our induction step, and hence (\*\*) follows by induction. Lemma 33 follows on taking  $h = k$  in  $(*^*)$ .

*3.2.3 Proof of Theorem 16.* In this short section, we observe that we have already done all the work to prove Theorem 16. Indeed, let H and  $\{G_n\}_{n=1}^{\infty}$  be as in the statement of Theorem 16. We first observe that we may boost hypothesis (iii) in the statement of that theorem to  $\text{TUPLE}(d_H)$ , by applying Lemma 32. But then we are in condition to apply Lemma 33. We leave the details to the reader.

3.3 REMARKS ABOUT THEOREM 26 (THE DIRECTED CASE). We omit the proof of Theorem 26 (stated in Section 2.2) and make a few remarks about its connection to the undirected case.

Theorem 26 is the directed version of the Embedding Lemma (Theorem 16). Its proof goes along the lines of the the proof of the Embedding Lemma. That is, it uses the directed versions of the Extension Lemma (Lemma 36), Lemma 32, Lemma 33 and Corollary 38. However, the proofs of the directed versions of the Extension Lemma, Lemma 33 and Corollary 38 are very similar to the proofs for the undirected case. Thus we omit those proofs.

We shall rather state and prove Lemma 41, which is the directed analogue of Lemma 32. Then at the end of this section we briefly say how to deduce Lemma 32 from Lemma 41.

We follow the same notation as in the beginning of Section 2.2. Let  $\vec{G}$  =  $(V, \vec{E})$  be a digraph,  $\pi = (\pi_1, \ldots, \pi_r) \in \{+, -\}^r$ , and  $(u_1, \ldots, u_r) \in V^r$ . We let  $d^{\pi}(u_1,..., u_r) = |N^{\pi}(u_1,..., u_r)|$ , where

(31) 
$$
N^{\pi}(u_1, ..., u_r) = \{w \in V : \forall i \in [r], (u_i, w) \in \vec{E} \text{ if } \pi_i = + \text{and } (w, u_i) \in \vec{E} \text{ if } \pi_i = -\}.
$$

LEMMA 41: Let  $t \geq 2$  be an integer and let  $\vec{G} = (V, \vec{E})$  be a digraph on n vertices *satisfying the following conditions:* 

(a) for all but  $o(n)$  vertices  $u \in V$ ,

$$
d^+(u) = \frac{1}{2}pn(1 + o(1)),
$$

(b)

$$
\sum_{(u,v)\in V^2} (d^{++}(u,v))^2 = n^2 \left(\frac{p^2n}{4}\right)^2 (1+o(1)).
$$

*If*  $p = p(n) \gg n^{-1/t}$  and  $\vec{G} \in \overrightarrow{BDD}(C, 2)$ , then, for all  $2 \leq r \leq t$ , for all  $\pi \in \{+, -\}^r$ , and for all but  $o(n^r)$  r-tuples  $(u_1, \ldots, u_r) \in V^r$ , we have

$$
d^{\pi}(u_1,\ldots,u_r) = \frac{1}{2^r} p^r n(1+o(1)).
$$

*Proof'.* First we show that

(c) for all but  $o(n)$  vertices  $u \in V$ , we have

$$
d^{-}(u) = \frac{1}{2}pn(1 + o(1)).
$$

To that end, we first observe that

(32) 
$$
\sum_{a \in V} d^{-}(a) = \sum_{u \in V} d^{+}(u).
$$

Condition (a) above and the fact that all vertices have degree  $\leq Cpn$  imply that

(33) 
$$
\sum_{u \in V} d^+(u) = n \left(\frac{pn}{2}\right) (1 + o(1)) + o(n)Cpn = n \left(\frac{pn}{2}\right) (1 + o(1)).
$$

Moreover, by the Cauchy-Schwarz inequality and (b) above, we have

(34) 
$$
\sum_{a \in V} d^{-}(a)^{2} = \sum_{(u,v) \in V^{2}} d^{++}(u,v) \leq n \left\{ \sum_{(u,v) \in V^{2}} d^{++}(u,v)^{2} \right\}^{1/2}
$$

$$
\leq n \left( \frac{pn}{2} \right)^{2} (1+o(1)).
$$

Lemma 29 and (32), (33), and (34) now imply that (c) above does indeed hold. We may deduce from (c) that

(35) 
$$
\sum_{(u,v)\in V^2} d^{++}(u,v) = \sum_{a\in V} d^-(a)^2 \ge n^2 \left(\frac{p^2n}{4}\right) (1+o(1)).
$$

Lemma 29, condition (b) and (35) now imply that

(d) for all but  $o(n^2)$  pairs  $(u, v) \in V^2$ , we have

$$
d^{++}(u,v) = \frac{1}{4}p^2n(1+o(1)).
$$

Similarly, we may deduce that

(e) for all but  $o(n^2)$  pairs  $(u, v) \in V^2$ , we have

$$
d^{--}(u,v) = \frac{1}{4}p^2n(1+o(1)).
$$

Indeed, this is a consequence of Lemma 29 and the identities

$$
\sum_{(u,v)\in V^2} d^{-\,}(u,v) = \sum_{a\in V} d^+(a)^2
$$

and

$$
\sum_{(u,v)\in V^2} d^{--}(u,v)^2 = \sum_{(a,b)\in V^2} d^{++}(a,b)^2.
$$

Having established the auxiliary facts  $(c)$ – $(e)$ , we are now in position to verify Lemma 41. For  $\pi = (\pi_1, ..., \pi_r) \in \{+, -\}^r$ , let  $P(\pi) = |\{i: \pi_i = +\}|$  and  $Q(\pi) = r - P_{\pi}$ . We write  $\mathbf{u} = (u_1, \ldots, u_r)$  for a general element in  $V^r$ . We have

(36) 
$$
\sum_{\mathbf{u}\in V^r} d^{\pi}(\mathbf{u}) = \sum_{a\in V} d^-(a)^{P(\pi)} d^+(a)^{Q(\pi)}.
$$

Condition (a) and property (c) deduced above and the fact that all vertices have degree  $\leq Cpn$  allow us to conclude that the right-hand side of (36) is  $\sim n(pn/2)^r = \sim n^r(p^r n/2^r)$ , so that

(37) 
$$
\sum_{\mathbf{u}\in V^r} d^{\pi}(\mathbf{u}) = n^r \Big(\frac{1}{2^r} p^r n\Big) (1 + o(1)).
$$

We now observe that

(38) 
$$
\sum_{\mathbf{u}\in V^r} d^{\pi}(\mathbf{u})^2 = \sum_{(a,b)\in V^2} (d^{--}(a,b))^{P(\pi)} (d^{++}(a,b))^{Q(\pi)}.
$$

Properties (d) and (e) deduced above and the fact that all pairs of vertices have joint degree  $\leq Cp^2n$  allow us to conclude that the right-hand side of (38) is  $\sim n^2(p^2n/4)^r = \sim n^r(p^r n/2^r)^2$ , so that

(39) 
$$
\sum_{\mathbf{u}\in V^r} d^{\pi}(\mathbf{u})^2 = n^r \Big(\frac{1}{2^r} p^r n\Big)^2 (1+o(1)).
$$

Finally, Lemma 29 and (37) and (39) imply that for all but  $o(n^r)$  *r*-tuples  $\mathbf{u} \in V^r$ , we have

$$
d^{\pi}(\mathbf{u}) = \frac{1}{2^{r}} p^{r} n (1 + o(1)),
$$

which concludes the proof of Lemma 41.

Now we present a sketch of the proof of Lemma 32 (introduced in Section 3), based on Lemma 41. We start by restating Lemma 32 in the following equivalent form.

LEMMA 42: Suppose  $t \geq 2$  and  $C > 1$  are constants and  $p = p(n)$  satisfies  $np<sup>t</sup> \gg 1$ . Let  ${G_n}_{n=1}^{\infty}$  be a sequence of graphs with  $G_n \in BDD(C, 2)$  for all n *and*  $|E(G_n)| = (1 + o(1))p{n \choose 2}$ . If

(a) for all but  $o(n)$  vertices  $u \in V(G_n)$ ,

 $deg_{G_n}(u) = pn(1+o(1)),$ 

(b) for all but at most  $o(n^2)$  pairs  $\{x_1, x_2\} \subseteq V(G_n)$ ,

$$
|N_{G_n}(x_1) \cap N_{G_n}(x_2)| = p^2 n(1+o(1)),
$$

*then, for all*  $r \in [t]$ *, all but at most*  $o(n^r)$  *r-element sets*  $\{x_1, \ldots, x_r\} \subseteq V(G_n)$ are *such that* 

$$
|N_{G_n}(x_1) \cap \cdots \cap N_{G_n}(x_r)| = p^r n(1+o(1)).
$$

*Sketch of* the *proof of Lemma 42:* Lemma 42 follows from Lemma 41. Suppose we are given a graph  $G_n$  as above; we then randomly orient its edges to get  $\vec{G}_n$ . One can easily show that the hypothesis of Lemma 41 holds almost surely for  $\bar{G}_n$ , that is, with probability tending to 1 as  $n \to \infty$ . Finally, note that if  $\bar{G}_n$ satisfies the conclusion of Lemma 41, then  $G_n$  satisfies the conclusion of Lemma  $42.$ 

3.4 PROOF OF THEOREM 24. Throughout this section, H will be a (not necessarily triangle-free) graph on  $k$  vertices and  $e$  edges. Recall that we denote the set of embeddings of H in a graph G by  $\mathcal{E}(H, G)$ . The set of **induced** embeddings of

H in G will be denoted by  $\mathcal{E}^{\text{ind}}(H, G)$ , and the set of **non-induced** embeddings of H in G will be denoted by  $\mathcal{E}^{\text{ni}}(H, G)$ .

To prove Theorem 24, we need to prove the implications

$$
NSUB(k + 1) \Rightarrow INDTUP(k - 1)
$$

and

$$
INDTUP(k-1) \Rightarrow NSUB(k),
$$

for all appropriate sequences of graphs  ${G_n}_{n=1}^{\infty}$ . The implications above will be proved in Lemmas 43 and 45 below.

LEMMA 43: Let  $k \geq 3$  and  $C > 1$  be fixed. Let  $p = p(n) = o(1)$  be a function of *n* satisfying  $np^{k-1} \gg 1$ . Then

$$
NSUB(k + 1) \Rightarrow INDTUP(k - 1)
$$

*for any sequence of graphs*  $\{G_n\}_{n=1}^{\infty}$  with  $p = p(n) = e(G_n)\binom{n}{2}^{-1}$  *and*  $G_n \in$  $BDD(C, k-1)$  *for all n.* 

*Proof:* We shall be somewhat sketchy in this proof. Let the sequence of graphs  ${G_n}_{n=1}^{\infty}$  be as in the statement of our lemma. Suppose that INDTUP(k - 1) fails to hold. We will show that  $NSUB(k+1)$  fails to hold as well. By definition of INDTUP(k - 1), we know that there are integers  $1 \leq r < k$  and  $0 \leq t \leq {r \choose 2}$ for which we have

(40) 
$$
|\text{Bad}^{\text{ind}}(r,t)| \neq o(n^r p^t),
$$

where

Bad<sup>ind</sup>
$$
(r, t) = \{ X \subseteq V(G_n) : |X| = r, e(X) = t, \text{ and } |N(X) - np^r| \neq o(np^r) \}.
$$

Given a graph F with r vertices and t edges, let  $\mathcal{E}(F, G_n; \text{Bad}^{\text{ind}}(r, t))$  be the set of induced embeddings f of F in  $G_n$  with the image  $f(V(F))$  of f in the family  $Bad<sup>ind</sup>(r, t)$ . Formally,

$$
\mathcal{E}(F, G_n; \mathrm{Bad}^{\mathrm{ind}}(r, t)) = \{ f \in \mathcal{E}^{\mathrm{ind}}(F, G_n) : f(V(F)) \in \mathrm{Bad}^{\mathrm{ind}}(r, t) \}.
$$

Observe that there are at most  $\binom{\binom{r}{r}}{r}$  graphs on r vertices and t edges that can be induced on  $X \in \text{Bad}^{\text{ind}}(r, t)$ . Hence we deduce from (40) that there is a graph F with  $r$  vertices and  $t$  edges such that

(41) 
$$
|\mathcal{E}(F, G_n; \text{Bad}^{\text{ind}}(r, t))| \neq o(n^r p^t).
$$

Unwinding the definitions, we see that (41) means that the number of induced embeddings f of F in  $G_n$  failing to satisfy

$$
|N(f(V(F)))| \sim np^r
$$

fails to be  $o(n^r p^t)$ .

Suppose now that the vertices of F are  $u_1, \ldots, u_r$ . Let  $u_{r+1}$  and  $u_{r+2}$  be two new vertices. We let  $F_1$  be the graph obtained from F by adding  $u_{r+1}$  to F and joining it to all vertices in  $F$ . Moreover, we let  $F_2$  be the graph obtained from  $F_1$  by adding  $u_{r+2}$  to  $F_1$  and joining it to all vertices in F. Note that  $u_{r+1}$  and  $u_{r+2}$  are not adjacent in  $F_2$ . Finally, we let  $F_3$  be obtained from  $F_2$  by adding the edge  $\{u_{r+1}, u_{r+2}\}.$  For convenience, put  $F_0 = F.$ 

In Claim 44 below, we prove that

$$
|\mathcal{E}^{\text{ind}}(F_i, G_n)| \not\sim n^{|V(F_i)|} p^{|E(F_i)|},
$$

for some i,  $0 \le i \le 3$ . Consequently NSUB $(k+1)$  fails, which is a contradiction. This contradiction proves Lemma 43.

CLAIM 44: For some  $i, 0 \le i \le 3$ , we have

$$
|\mathcal{E}^{\text{ind}}(F_i, G_n)| \nless n^{|V(F_i)|} p^{|E(F_i)|}.
$$

*Proof:* Assume for a contradiction that the number of embeddings of  $F_i$  in  $G_n$  $(0 \leq i \leq 3)$  is  $\sim n^{|V(F_i)|} p^{|E(F_i)|}$ . We will show that

 $|\mathcal{E}(F, G_n; \text{Bad}^{\text{ind}}(r, t))| = o(n^r p^t),$ 

which would contradict (41).

Since  $p = o(1)$ , we may deduce from Corollary 38 that the number of *induced* embeddings  $|\mathcal{E}^{\text{ind}}(F_i, G_n)|$  of  $F_i$  in  $G_n$  satisfies

(42) 
$$
|\mathcal{E}^{\text{ind}}(F_i,G_n)| \sim n^{|V(F_i)|} p^{|E(F_i)|},
$$

for all  $0 \leq i \leq 3$ .

For each induced embedding f of F to  $G_n$ , put

$$
d(f) = |N(f(V(F)))|;
$$

that is,  $d(f)$  is the number of joint neighbors of the vertices in the image of f. Clearly, we have

(43) 
$$
|\mathcal{E}^{\rm ind}(F_1,G_n)|=\sum\{d(f)\colon f\in\mathcal{E}^{\rm ind}(F,G_n)\}.
$$

Moreover, by (42) and the fact that  $p = o(1)$ , we have that

(44) 
$$
|\mathcal{E}^{\text{ind}}(F_2, G_n)| \sim |\mathcal{E}^{\text{ind}}(F_2, G_n)| + |\mathcal{E}^{\text{ind}}(F_3, G_n)|
$$

$$
= \sum \{d(f)(d(f) - 1): f \in \mathcal{E}^{\text{ind}}(F, G_n)\}.
$$

Note that (42) and (43) imply that

(45) 
$$
\sum \{d(f) : f \in \mathcal{E}^{\text{ind}}(F, G_n) \} \sim n^{r+1} p^{t+r} \sim |\mathcal{E}^{\text{ind}}(F, G_n)| n p^r.
$$

Since  $np^r \ge np^{k-1} \gg 1$ , we may deduce from (45) that

(46) 
$$
\sum_{f} d(f)^2 \geq \frac{1}{|\mathcal{E}^{\text{ind}}(F, G_n)|} \left( \sum_{f} d(f) \right)^2 \gg \sum_{f} d(f),
$$

where all the sums above are over  $f \in \mathcal{E}^{\text{ind}}(F, G_n)$ . Combining (42), (44), and (46), we deduce that

(47) 
$$
\sum \{d(f)^2 : f \in \mathcal{E}^{\text{ind}}(F, G_n) \} \sim n^{r+2} p^{t+2r} \sim |\mathcal{E}^{\text{ind}}(F, G_n)| (np^r)^2.
$$

In view of (45) and (47), we may now simply apply Lemma 29 to deduce that

$$
d(f) = |N(f(V(F)))| \sim np^r
$$

for  $(1 - o(1))|\mathcal{E}^{\text{ind}}(F, G_n)| \sim n^r p^t$  embeddings  $f \in \mathcal{E}^{\text{ind}}(F, G_n)$ . This means that

$$
|\mathcal{E}(F, G_n; \text{Bad}^{\text{ind}}(r, t))| = o(n^r p^t).
$$

However, as observed above, this contradicts (41). Thus the claim holds. **II** 

We now prove the implication

$$
INDTUP(k-1) \Rightarrow NSUB(k),
$$

for all appropriate sequences of graphs  ${G_n}_{n=1}^{\infty}$ . We in fact give a more precise assertion in Lemma 45 below.

LEMMA 45: Let  $\delta > 0$ ,  $C > 1$  and  $k \geq 3$  be fixed. Let H be a (not necessarily *triangle-free) graph on k vertices, and let*  $p = p(n) = o(1)$  *be a function of n satisfying*  $np^{D_H} \gg 1$ *. Then there exist*  $\epsilon > 0$  *and an integer*  $n_3$  *for which the following holds. If a sequence of graphs*  $\{G_n\}_{n=1}^{\infty}$  *is such that, for all n,* 

- (i)  $G_n \in \text{BDD}(C, D_H)$ .
- (ii)  $p = p(n) = e(G_n) {n \choose 2}^{-1}$ .
- (iii) INDTUP<sub> $\epsilon$ </sub>(d<sub>H</sub>) holds for  $G_n$ ,

*then the number of embedding of H in*  $G_n$  *is* 

$$
N(H,G_n)\sim_\delta n^k p^e
$$

*for all*  $n \geq n_3$ , where  $e = |E(H)|$ .

*Proof:* The proof of this lemma is very similar to the proof of Lemma 33, and hence we shall only sketch an informal proof.

We shall assume throughout that  $C > 1$  and  $k \geq 3$  are fixed constants and that  $G_n \in \text{BDD}(C, D_H)$  for all n, where  $\{G_n\}_{n=1}^{\infty}$  is as in the statement of our lemma. Let us also fix a graph  $H$  as in the statement of our lemma. As in the proof of Lemma 33, we shall also fix a  $d_H$ -degenerate ordering  $v_1, \ldots, v_k$  of the vertices of H. As before, if  $1 \leq h \leq k$ , we shall write  $H_h$  for the graph  $H[\{v_1, \ldots, v_h\}]$ induced by  $\{v_1,\ldots,v_h\}$  in H.

We shall prove by induction on  $h$  that

(†) for all  $1 \leq h \leq k$ , if property INDTUP( $d_H$ ) holds for  $\{G_n\}_{n=1}^{\infty}$ , then

(48) 
$$
|\mathcal{E}(H_h, G_n)| \sim n^h p^{e(H_h)}.
$$

Note that (†) is trivially true for  $h = 1$ . Now suppose that  $1 < h \leq k$  and that (t) holds for smaller values of  $h$ . We need to show that (48) holds assuming that INDTUP $(d_H)$  holds.

By Corollary 38, we know that

(49) 
$$
|\mathcal{E}^{\mathrm{ni}}(H_{h-1}, G_n)| = o(n^{h-1}p^{e(H_{h-1})}).
$$

From the induction hypothesis and (49), we may deduce that

(50) 
$$
|\mathcal{E}^{\text{ind}}(H_{h-1}, G_n)| \sim n^{h-1} p^{e(H_{h-1})}.
$$

We now need to introduce some notation. Let  $r = \deg_{H_h}(v_h)$ , and suppose that the neighborhood  $N_{H_h}(v_h)$  of  $v_h$  in  $H_h$  induces t edges in  $H_h$ . Clearly,  $N_{H_h}(v_h)$  induces t edges in  $H_{h-1}$  as well. As in the proof of Lemma 43, we put

Bad<sup>ind</sup>
$$
(r, t) = \{ X \subseteq V(G_n) : |X| = r, e(X) = t, \text{ and } |N(X) - np^r| \neq o(np^r) \}.
$$

In words, Bad<sup>ind</sup> $(r, t)$  is the family of the r-element sets of vertices of  $G_n$  that induce t edges in  $G_n$  and fail to have a joint neighborhood of cardinality  $\sim np^r$ .

Since  $r = \deg_{H_h}(v_h) \le d_H$  and we are assuming that INDTUP( $d_H$ ) holds, we have

(51) 
$$
|\text{Bad}^{\text{ind}}(r,t)| = o(n^r p^t).
$$

We now let

$$
\mathcal{E}(H_{h-1}, G_n; \mathrm{Bad}^{\mathrm{ind}}(r, t)) = \{f \in \mathcal{E}^{\mathrm{ind}}(H_{h-1}, G_n): f(N_{H_h}(v_h)) \in \mathrm{Bad}^{\mathrm{ind}}(r, t)\}.
$$

We will need the following claim, Claim 46. We delay its proof until the end of this section.

CLAIM 46: *We have* 

(52) 
$$
|\mathcal{E}(H_{h-1}, G_n; \text{Bad}^{\text{ind}}(r, t))| = o(n^{h-1}p^{e(H_{h-1})}).
$$

Assuming Claim 46, we proceed with the proof of Lemma 45.

If f is an embedding of  $H_{h-1}$  in  $G_n$ , let us write  $d(f)$  for the number of extensions of f to embeddings of  $H_h$  in  $G_n$ . Note that

 $(1)$  if

(53) 
$$
f \in \mathcal{E}^{\text{ind}}(H_{h-1}, G_n) \setminus \mathcal{E}(H_{h-1}, G_n; \text{Bad}^{\text{ind}}(r, t)),
$$

then

$$
(54) \t d(f) \sim np^r.
$$

Observation  $(1)$ , relation  $(50)$ , and Claim 46 imply that

(55) 
$$
|\mathcal{E}(H_h, G_n)| \gtrsim n^{h-1} p^{e(H_{h-1})} \times n p^r = n^h p^{e(H_h)}.
$$

We now need to estimate  $|\mathcal{E}(H_h, G_n)|$  from above. Note that any embedding f of  $H_{h-1}$  in  $G_n$  extends to  $\leq Cnp^r$  embeddings of  $H_h$  in  $G_n$ , because we are assuming that  $G_n \in BDD(C, D_H)$  and  $r = \deg_{H_h}(v_h) \le d_H \le D_H$ . In particular, if

(56) 
$$
f \in \mathcal{E}^{\rm ni}(H_{h-1}, G_n) \cup \mathcal{E}(H_{h-1}, G_n; \text{Bad}^{\rm ind}(r,t)),
$$

then  $d(f) \leq Cnp^r$ . Inequality (49) and Claim 46 imply that the number of embeddings f as in (56) is  $o(n^{h-1}p^{e(H_{h-1})})$ . It follows that the number of embeddings of  $H_h$  in  $G_n$  that extend embeddings f as in (56) is  $o(n^h p^{e(H_h)})$ .

Finally, we observe that if an embedding  $f \in \mathcal{E}(H_{h-1}, G_n)$  is not as in (56), then it must be as in  $(53)$ . Recalling  $(†)$ , we see that the total number of embeddings of  $H_h$  in  $G_n$  is  $\sim n^h p^{e(H_h)}$ . The proof of the induction step is therefore complete, and hence (†) follows by induction. Naturally, Lemma 45 follows by setting  $h = k$ in (†).  $\blacksquare$ 

Now we present the proof of Claim 46, which is a slight extension of the proof of Corollary 40.

*Proof of Claim 46:* By definition

$$
\mathcal{E}(H_{h-1}, G_n; \mathrm{Bad}^{\mathrm{ind}}(r,t)) = \{ f \in \mathcal{E}^{\mathrm{ind}}(H_{h-1}, G_n) : f(N_{H_h}(v_h)) \in \mathrm{Bad}^{\mathrm{ind}}(r,t) \}.
$$

Fix an *r*-tuple F such that  $F<sup>set</sup> = N_{H_h}(v_h)$ . By the above definition and the fact that  $G_n$  satisfies INDTUP( $k-1$ ), we have

(57) 
$$
|\mathcal{E}(H_{h-1}, G_n; \text{Bad}^{\text{ind}}(r, t))| = \sum_X |\mathcal{E}(H_{h-1}, G_n, F, X)|,
$$

where the sum is over all r-tuples X such that  $X^{\text{set}} \in \text{Bad}^{\text{ind}}(r, t)$ . By (51), the number of r-tuples  $X$  that we are summing over in  $(57)$  is at most

$$
r! \times o(n^r p^t) = o(n^r p^t).
$$

For each r-tuple *X.* we apply the Extension Lemma (Lemma 36) to

$$
\mathcal{E}(H_{h-1},G_n,F,X)
$$

and deduce from (57) that

(58) 
$$
|\mathcal{E}(H_{h-1}, G_n; \text{Bad}^{\text{ind}}(r, t))| \leq o(n^r p^t) \times C^{(h-1)-r} n^{(h-1)-r} p^{e(H_{h-1})-t}
$$

$$
= o(n^{h-1} p^{e(H_{h-1})}).
$$

This concludes the proof of Claim 46.

## **4. Auxiliary facts and related work**

4.1 GENERAL FACTS. We have used Facts 47 and 48 given below. Recall that, for two graphs  $X$  and  $Y$ , the set of all embeddings of  $X$  in  $Y$  is denoted by  $\mathcal{E}(X,Y).$ 

FACT 47: we have Let  $k \geq 1$  be a fixed integer. For any sequence of graphs  $\{G_n\}_{n=1}^{\infty}$ ,

$$
NSUB(k+1) \Rightarrow NSUB(k).
$$

*Proof:* Suppose  $\{G_n\}_{n=1}^{\infty}$  satisfies NSUB(k+1) and let  $p = p(n) = |E(G_n)|\binom{n}{2}^{-1}$ . To prove this fact, we have to show that, for any graph  $H$  on  $k$  vertices, we have

(59) 
$$
|\mathcal{E}(H, G_n)| = (1 + o(1))n^k p^e.
$$

where  $e = |E(H)|$ . Given a graph H as above we construct  $H^+$  where  $V(H^+)$  =  $V(H) \cup \{u\}$  and  $E(H^+) = E(H)$ . By definition of  $H^+$ , it follows that

(60) 
$$
|\mathcal{E}(H^+, G_n)| = N(H, G_n)(n-k).
$$

By hypothesis, we know that  ${G_n}_{n=1}^{\infty}$  satisfies NSUB(k + 1). Thus

(61) 
$$
|\mathcal{E}(H^+, G_n)| = (1 + o(1))n^{k+1}p^e.
$$

Combining  $(60)$  and  $(61)$ , we obtain  $(59)$ .

Similarly, we may prove the following simple fact.

FACT 48: Let  $k \ge 1$  be a fixed integer. For any sequence of graphs  $\{G_n\}_{n=1}^{\infty}$ , we have

$$
TFSUB(k+1) \Rightarrow TFSUB(k).
$$

4.2 PROOF OF PROPOSITION 6. In this section, we shall sketch the proof of Proposition  $6(A)$  and we shall prove Proposition  $6(B)$  using a construction due to Alon [3].

*Proof of Proposition 6(A):* We only outline the proof of Proposition 6(A), because a similar result is proved in [14] (see Theorem B' in [14]). The graphs  $G_i$ satisfying properties (i) and (ii) above can be constructed from sparse random graphs whose triangles have been destroyed by the removal of a small fraction of the edges. Replacing each vertex of such a triangle-free "random like graph" by a stable set of appropriate cardinality and each edge by a complete bipartite graph yields suitable graphs  $G_i$ .

The proof of Proposition  $6(B)$  is based on a family of graphs constructed by Alon [3].

CONSTRUCTION OF ALON'S GRAPH. Let  $k > 1$  be an integer not divisible by 3 and let  $F_k = \text{GF}(2^k)$  be the Galois field with  $2^k$  elements. Depending on the context, we will think of the elements of  $F_k$  as polynomials over  $GF(2)$  or as binary vectors of length  $k$  (whose entries are the coefficients of the corresponding polynomial representations). If u and v are two vectors, we will denote their concatenation by  $u \circ v$ .

For any  $\alpha \in F_k - \{0\}$ , we put the vector  $\alpha$  in  $W_0$  if the constant term of the polynomial  $\alpha^7$  is 0. Otherwise we put  $\alpha$  in  $W_1$ . Let  $\Gamma = (\mathbb{Z}_2)^{3k}$  be the Abelian group with elements the binary vectors of length 3k. Let.

$$
U_0 = \{w_0 \circ w_0^3 \circ w_0^5 : w_0 \in W_0\} \quad \text{and} \quad U_1 = \{w_1 \circ w_1^3 \circ w_1^5 : w_1 \in W_1\}
$$

be subsets of F. Note that

$$
|U_0| = |W_0| = 2^{k-1} - 1
$$
 and  $|U_1| = |W_1| = 2^{k-1}$ .

In the following, we will use bold letters to denote vectors of length  $3k$  to distinguish them from vectors of length  $k$ .

The Alon graph  $G = G(\Gamma)$  is defined to be the Cayley graph on  $\Gamma$  with generating set

$$
S = U_0 + U_1 = \{ \mathbf{u}_0 + \mathbf{u}_1 : \mathbf{u}_0 \in U_0, \ \mathbf{u}_1 \in U_1 \} \subseteq \Gamma.
$$

In other words  $V(G) = \Gamma = (\mathbb{Z}_2)^{3k}$  and  $\mathbf{x}, \mathbf{y} \in V(G)$  form an edge in G if and only if  $\mathbf{x} + \mathbf{y} \in S$ . Let  $M_0$  (resp.  $M_1$ ) be the  $3k \times (2^{k-1} - 1)$  (resp.  $3k \times 2^{k-1}$ ) matrix whose columns are the vectors in  $U_0$  (resp.  $U_1$ ). Consider the matrix  $M = [M_0, M_1]$ . It turns out that M is the parity check matrix of a BCH code of designed distance 7. Alon showed that the graph  $G$  has the following properties:

- (a)  $G$  is triangle-free,
- (b) G is  $d = |S| = 2^{k-1}(2^{k-1} 1)$ -regular,
- (c) The second largest eigenvalue of the adjacency matrix of G has size  $\leq$  $9 \cdot 2^k + 3 \cdot 3^{k/2} + 1/4 = \Theta(2^k).$

Roughly speaking, properties (a) and (b) follow from the fact any 6 colunms in M are linearly independent over  $GF(2)$ . Property (c) is much more delicate, and depends on the Carlitz-Uchiyama bound for the Hamming weight of dual code words of BCH codes. We refer the reader to Alon [3] for details.

*Proof of Proposition 6(B):* From the above discussion, it follows that for each  $k > 1$  not divisible by 3, we have an Alon graph G with the properties (a), (b) and (c) listed above. Let  ${G_i}_{i=1}^{\infty}$  be the family of all such graphs G (ordered according to  $|V(G_i)|$ ).

We prove Proposition 6(B) by showing that the family  ${G_i}_{i=1}^{\infty}$  satisfies (i), (ii), and (iii) of Proposition  $6(B)$ .

Observe that (i) of Proposition  $6(B)$  is simply (a) above. Next we prove (ii) of Proposition 6(B). For each  $G_i$ , we have (by definition)  $n = |V(G_i)| = 2^{3k}$  and  $d = pn = 2^{k-1}(2^{k-1} - 1)$ . Thus, letting  $k \to \infty$  yields

(62) 
$$
p = \left(\frac{1}{4} + o(1)\right) n^{-1/3}.
$$

Let  $A = (a_{x,y})_{x,y \in V(G_i)}$  denote the 0-1 adjacency matrix of the graph  $G_i$ , with 1 denoting edges. Let  $\lambda_j$   $(1 \leq j \leq n = 2^{3k})$  be the eigenvalues of A and adjust

the notation so that  $\lambda_1 \geq |\lambda_2| \geq \cdots \geq |\lambda_n|$ . It follows from properties (b) and (c) above that

(63) 
$$
\lambda_1 = d = 2^{k-1}(2^{k-1} - 1) \text{ and } |\lambda_2| = \Theta(2^k).
$$

Hence  ${G_i}_{i=1}^{\infty}$  satisfies EIG. By Fact 3 in [8], we have EIG  $\Rightarrow$  DISC. Consequently  ${G_i}_{i=1}^{\infty}$  satisfies DISC as well. Now it remains to show that  ${G_i}_{i=1}^{\infty}$ satisfies property TUPLE(2). Assume for a moment that  ${G_i}_{i=1}^{\infty}$  satisfies property EIG(4), defined as follows:

EIG(4):  $\sum_{i=1}^n |\lambda_i|^4 = (1 + o(1))p^4n^4$ .

Then, by Fact 7 in [8],  ${G_i}_{i=1}^{\infty}$  must also satisfy CIRCUIT(4), which is defined as follows:

*CIRCUIT(4):* The number of labeled circuits of length 4 is  $(1 + o(1))p^4n^4$ .

This leads to the following fact.

FACT 49: EIG(4)  $\Rightarrow$  TUPLE(2) for the graph sequence  ${G_i}_{i=1}^{\infty}$ .

*Proof:* Recall that EIG(4)  $\Rightarrow$  CIRCUIT(4) for the graph sequence  ${G_i}_{i=1}^{\infty}$ (Fact 7 in [8]). Thus, we may assume that CIRCUIT(4) holds for  ${G_i}_{i=1}^{\infty}$ . For  $n = |V(G_i)|$ , then as seen above,  $deg(v) = pn$  for all  $v \in V(G_i)$ .

Let  $\#\{\text{Cir}(4) \subseteq G_i\}$  be the number of labeled circuits of length 4 and  $\#\{\text{Cyc}(4) \subseteq G_i\}$  the number of labeled cycles of length 4 in  $G_i$ . Denote by  $W_d$  the number of **degenerate** labeled circuits of length 4 in  $G_i$ , i.e., labeled closed walks using exactly 3 or exactly 2 distinct vertices. Observe that

(64) 
$$
\#\{ \text{Cir}(4) \subseteq G_i \} = \#\{ \text{Cyc}(4) \subseteq G_i \} + W_d.
$$

Note that the degenerate labeled circuits of length 4 correspond to paths of length 2 and edges. Hence, since  $np^2 \gg 1$ , we have (65)

$$
W_d = \sum_{v \in V(G_i)} \left( 4 \cdot \binom{\deg(v)}{2} + \deg(v) \right) = 4n \cdot \binom{pn}{2} + n \cdot pn < 2n^3p^2 = o(n^4p^4).
$$

Since CIRCUIT(4) holds for  ${G_i}_{i=1}^{\infty}$ , we have

(66) 
$$
\#\{\text{Cir}(4) \subseteq G_i\} = (1+o(1))n^4p^4.
$$

Thus,  $(64)$ ,  $(65)$  and  $(66)$  imply

(67) 
$$
\# \{ \text{Cyc}(4) \subseteq G_i \} = \# \{ \text{Cir}(4) \subseteq G_i \} - W_d = (1 + o(1))n^4p^4.
$$

Hence,  ${G_i}_{i=1}^{\infty}$  satisfies CYCLE(4). Finally, by Fact 31 in Section 3.2, we have  $CYCLE(4) \Rightarrow TUPLE(2)$ . Thus,  ${G_i}_{i=1}^{\infty}$  satisfies TUPLE(2) and Fact. 49 is  $\Box$  proved.

By Fact 49 above, it follows that, in order to show that  ${G_i}_{i=1}^{\infty}$  satisfies TUPLE(2), it is enough to show that  ${G_i}_{i=1}^{\infty}$  satisfies EIG(4).

By  $(63)$ , there exists a constant C such that

(68) 
$$
\lambda_1^4 = d^4 = p^4 n^4
$$

and

(69) 
$$
\sum_{i=2}^{n} |\lambda_i|^4 < n(C2^k)^4 = C^4 2^{3k} \cdot 2^{4k} = o(p^4 n^4),
$$

where in (69) we used that  $p^4n^4 = 2^{8k}$ . By (68) and (69), our graph sequence  ${G_i}_{i=1}^{\infty}$  satisfies property EIG(4). This concludes the proof of (ii) of Proposition 6(B).

To complete our proof of Proposition 6(B), it remains to show that the graphs  $G_i$  satisfy (iii). Since this will take some work, we state this fact as a separate lemma (see Lemma 50 below). ■

LEMMA 50:  $G_i \in BDD(128, 2)$  for all  $i \ge 1$ .

We will use the following simple fact in the proof of Lemma 50.

FACT 51: Suppose  $a_1$  and  $a_2 \in F_k$  with  $a_1 \neq 0$  are given, and consider the system of equations

(60) 
$$
\begin{cases} x + y = a_1, \\ x^3 + y^3 = a_2. \end{cases}
$$

System (70) has at most two pairs of solutions in  $F_k$ , namely  $(x, y) = (\alpha, \beta)$  and  $(x, y) = (\beta, \alpha)$  for some  $\alpha$  and  $\beta \in F_k$  with  $\beta = \alpha + a_1 \neq \alpha$ .

*Proof:* By substituting  $x + a_1$  for y in the second equation of (70), we obtain the quadratic equation  $a_1x^2 + a_1^2x + a_1^3 + a_2 = 0$ , which has at most two solutions. If  $\alpha$ is a solution to the latter equation, then so is  $\beta = \alpha + a_1$ , as a simple calculation shows. This implies that the solutions to  $(70)$  are as claimed.

*Proof of Lemma 50:* Since (by definition)  $G_i$  is d-regular, where  $d = pn$ , we have  $\deg_{G_i}(\mathbf{x}) = pn$  for all  $\mathbf{x} \in V(G_i)$ . Thus, it remains to show that for any two vertices  $\mathbf{x} \neq \mathbf{y}$  in  $V(G_i)$ , we have

$$
|N_{G_i}(\mathbf{x}) \cap N_{G_i}(\mathbf{y})| \le 128p^2n.
$$

For  $\mathbf{x} \neq \mathbf{y} \in V(G_i)$ , the vertex  $\mathbf{t} \in V(G_i)$  belongs to  $N(\mathbf{x}) \cap N(\mathbf{y})$  if and only if there exist **s**,  $s' \in S$  such that  $x + t = s$  and  $y + t = s'$ , or, equivalently,  $\mathbf{x} + \mathbf{y} = \mathbf{s} + \mathbf{s}'$ . Consequently,

$$
|N_{G_i}(\mathbf{x}) \cap N_{G_i}(\mathbf{y})| = |\{(\mathbf{s}, \mathbf{s}') \in S \times S : \mathbf{s} + \mathbf{s}' = \mathbf{x} + \mathbf{y}\}|.
$$

Set  $\mathbf{a} = \mathbf{x} + \mathbf{y} = a_1 \circ a_2 \circ a_3$  where  $a_1, a_2$ , and  $a_3$  are in  $F_k$ . Let

$$
\mathbf{s} = (w_0 + w_1) \circ (w_0^3 + w_1^3) \circ (w_0^5 + w_1^5) \quad \text{and} \quad \mathbf{s}' = (v_0 + v_1) \circ (v_0^3 + v_1^3) \circ (v_0^5 + v_1^5)
$$

where  $v_0, w_0 \in W_0$  and  $v_1, w_1 \in W_1$ . Thus the equation  $\mathbf{x} + \mathbf{y} = \mathbf{s} + \mathbf{s}'$  can be written as

(71) 
$$
\begin{cases} w_0 + w_1 + v_0 + v_1 = a_1, \\ w_0^3 + w_1^3 + v_0^3 + v_1^3 = a_2, \\ w_0^5 + w_1^5 + v_0^5 + v_1^5 = a_3. \end{cases}
$$

For any  $f \in F_k$ , let

$$
\widetilde{f} = f \circ f^3 \circ f^5 = \begin{pmatrix} f \\ f^3 \\ f^5 \end{pmatrix}.
$$

We define

(72) 
$$
P = \{(\widetilde{w_0} + \widetilde{w_1}, \widetilde{v_0} + \widetilde{v_1}) : w_0, v_0 \in W_0 \text{ and } w_1, v_1 \in W_1 \text{ satisfy (71)}\}.
$$

Observe that

$$
|N_{G_i}(\mathbf{x}) \cap N_{G_i}(\mathbf{y})| = |\{(\mathbf{s}, \mathbf{s}') \in S \times S : \mathbf{s} + \mathbf{s}' = \mathbf{x} + \mathbf{y}\}| = |P|.
$$

For each  $z_0 \in W_0$ , set

$$
P(z_0) = \{(\widetilde{w_0} + \widetilde{w_1}, \widetilde{v_0} + \widetilde{v_1}) \in P : w_0 = z_0\}.
$$

Since any 6 columns of  $M$  are linearly independent, a moment's thought shows that the sets  $P(z_0)$  ( $z_0 \in W_0$ ) are pairwise disjoint. Therefore

(73) 
$$
|N_{G_i}(\mathbf{x}) \cap N_{G_i}(\mathbf{y})| = |P| = \sum_{z_0 \in W_0} |P(z_0)|.
$$

Let

(74) 
$$
T = \{z_0 \in W_0 : |P(z_0)| > 2\}.
$$

We now state a claim that will be used to finish the proof of Lennna 50.

CLAIM 52: *With the same notation as above, the following holds.* 

- (1)  $|P(z_0)| \le |W_1| = 2^{k-1}$  for all  $z_0 \in W_0$ .
- (2)  $|T| \leq 2$ .

The proof of Claim 52 is postponed to the end of Section 4.2. Now we are ready to finish the proof of Lemma 50. By (73) and Claim 52, we have

$$
|P| = \sum_{z_0 \in W_0} |P(z_0)| = \sum_{z_0 \in T} |P(z_0)| + \sum_{z_0 \in W_0 \setminus T} |P(z_0)|
$$
  
 
$$
\leq |T| \cdot 2^{k-1} + |W_0| \cdot 2 \leq 2 \cdot 2^{k-1} + (2^{k-1} - 1) \cdot 2 < 4 \cdot 2^{k-1}.
$$

Now, it follows from (73) that

$$
|N_{G_i}(\mathbf{x}) \cap N_{G_i}(\mathbf{y})| = |P| < 4 \cdot 2^{k-1} \le 128p^2n,
$$

because, as a quick calculation shows,  $p^2n = 2^{k-4}(1 - 1/2^{k-1})^2 \ge 2^{k-6}$ . This concludes the proof of Lemma 50, assuming Claim 52.

It remains to prove Claim 52.

*Proof of Claim 52:* We shall first prove (1) of Claim 52. Let  $z_0 \in W_0$ . If  $|P(z_0)| \le$ 1 then we are done. Otherwise there exist at least two pairs  $(\tilde{z}_0 + w'_1, v'_0 + v'_1)$ ,  $(\tilde{z_0} + \tilde{w_1''}, \tilde{v_0''} + \tilde{v_1''}) \in P(z_0)$ . From the definition of  $P(z_0) \subseteq P$  and (71), it follows that

(75) 
$$
\widetilde{w'_1} + \widetilde{v'_0} + \widetilde{v'_1} = \widetilde{w''_1} + \widetilde{v''_0} + \widetilde{v''_1}.
$$

Since any 6 columns of the matrix  $M$  are linearly independent (see Alon [3]), each element in  $\{\widetilde{w'_1}, \widetilde{w''_1}, \widetilde{v'_0}, \widetilde{v''_0}, \widetilde{v''_1}, \widetilde{v''_1}\}$  must occur an even number of times. Since  $W_0 \cap W_1 = \emptyset$ ,  $\{v'_0, v''_0\} \subseteq W_0$  and  $\{v'_1, v''_1, w'_1, w''_1\} \subseteq W_1$ , we have  $v'_0 = v''_0$ . In other words, if  $z_0$  is fixed then  $\tilde{v}_0 = v_0(z_0)$  is uniquely determined for all pairs  $(\tilde{z}_0 + \tilde{w}_1, \tilde{v}_0 + \tilde{v}_1) \in P(z_0)$ . By the definition of  $P(z_0) \subseteq P$ , we have

(76) 
$$
\widetilde{z_0} + \widetilde{w_1} + \widetilde{v_0(z_0)} + \widetilde{v_1} = \begin{pmatrix} a_1 \\ a_2 \\ a_3 \end{pmatrix}.
$$

We distinguish the following two cases.

CASE 1: 
$$
\widetilde{z_0} + \widetilde{v_0(z_0)} = \begin{pmatrix} a_1 \\ a_2 \\ a_3 \end{pmatrix}.
$$

In this case, equation (76) implies that  $w_1 = v_1$ . Hence the elements of  $P(z_0)$ are of the form  $(\tilde{z_0} + \tilde{w_1}, v_0(z_0) + \tilde{w_1})$  where  $w_1 \in W_1$  is arbitrary. Thus  $|P(z_0)| \le$  $|W_1| = 2^{k-1}$ . Hence (1) of Claim 52 holds in this case.

CASE 2: 
$$
\widetilde{z_0} + \widetilde{v_0(z_0)} \neq \begin{pmatrix} a_1 \\ a_2 \\ a_3 \end{pmatrix}
$$
.  
Set

Set

(77) 
$$
\begin{pmatrix} a'_1 \\ a'_2 \\ a'_3 \end{pmatrix} = \begin{pmatrix} a_1 \\ a_2 \\ a_3 \end{pmatrix} + \widetilde{z_0} + \widetilde{v_0(z_0)} \neq \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}
$$

Then (76) implies that  $w_1 + v_1 = a'_1$ ,  $w_1^3 + v_1^3 = a'_2$ , and  $w_1^5 + v_1^5 = a'_3$ . Observe that these equations and (77) imply that  $a'_1 \neq 0$  (indeed, otherwise  $w_1 = v_1$ , and we would have  $a'_1 = a'_2 = a'_3 = 0$ , which contradicts (77)). Now Fact 51 implies that the equations  $w_1 + v_1 = a'_1$  and  $w_1^3 + v_1^3 = a'_2$  are satisfied by at most two pairs  $(w_1, v_1)$ . Hence  $|P(z_0)| \leq 2 \leq 2^{k-1}$ , and (1) of Claim 52 is proven.

Now we shall prove (2) of Claim 52. If  $z_0 \in T$  then  $|P(z_0)| > 2$  and it follows from the above discussion that for any  $({\tilde{z}_0} + \widetilde{w_1}, {\tilde{v}_0} + {\tilde{v_1}}) \in P(z_0)$ , we have  $\tilde{v}_0 = v_0(z_0)$  and  $\tilde{w}_1 = \tilde{v}_1$ . This observation combined with (76) implies that  $z_0 + v_0(z_0) = a_1 \neq 0$  and  $z_0^3 + (v_0(z_0))^3 = a_2$ . Then Fact 51 implies that these two equations have at most two solution pairs  $(z_0, v_0(z_0))$ . Thus  $|T| \leq 2$ , proving  $(2)$  of Claim 52.

## **5. Concluding remarks**

The study of quasi-random properties in a **random** setting was considered in, e.g., [14]. Proposition 6 tells us that the implications "DISC  $\Rightarrow$  NSUB(3)", "TUPLE(2)  $\Rightarrow$  NSUB(3)" and "EIG  $\Rightarrow$  NSUB(3)" fail to be true when  $p = o(1)$ . However, counterexamples demonstrating this proposition are rare and "do not occur in random graphs".

In a subsequent paper, we plan to address the question of extending the Chung-Graham–Wilson theorem (Theorem 1) to "subgraphs of random graphs" if  $p \to 0$ sufficiently slowly.

Another direction for future work is the application of the Embedding Lemma (Theorem 16) to extremal problems for subgraphs of random graphs (including Turán type problems). For discussions on Turán type extremal problems for subgraphs of random graphs, see [12, Chapter 8], [13], [14, Section 1.4.2], and **[15].** 

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